

US 20140249209A1

(19) United States(12) Patent Application Publication

Fox et al.

(10) Pub. No.: US 2014/0249209 A1 (43) Pub. Date: Sep. 4, 2014

(54) METHODS FOR THE TREATMENT AND PREVENTION OF LIVER DISEASE

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- (21) Appl. No.: 14/177,928

(22) Filed: Feb. 11, 2014

Related U.S. Application Data

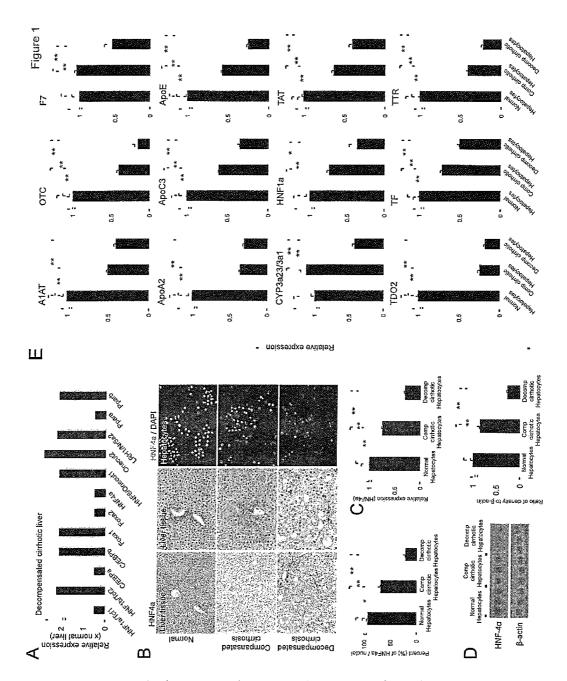
(60) Provisional application No. 61/763,744, filed on Feb. 12, 2013.

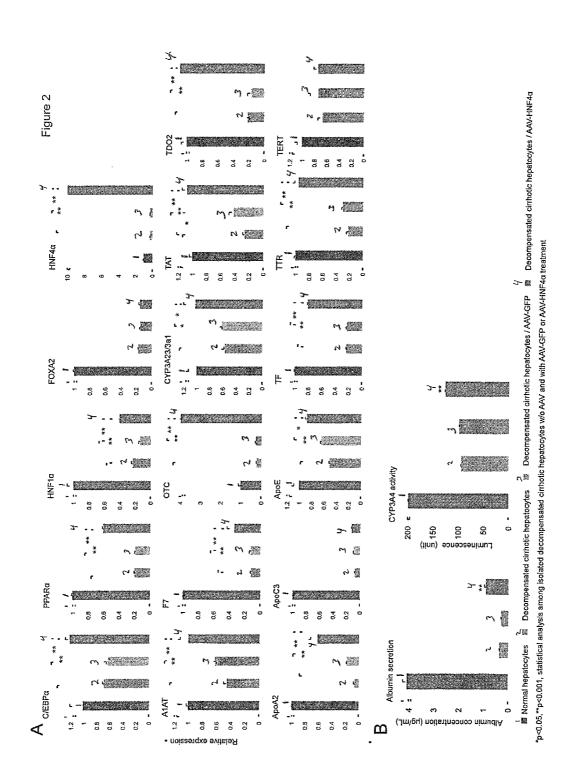
Publication Classification

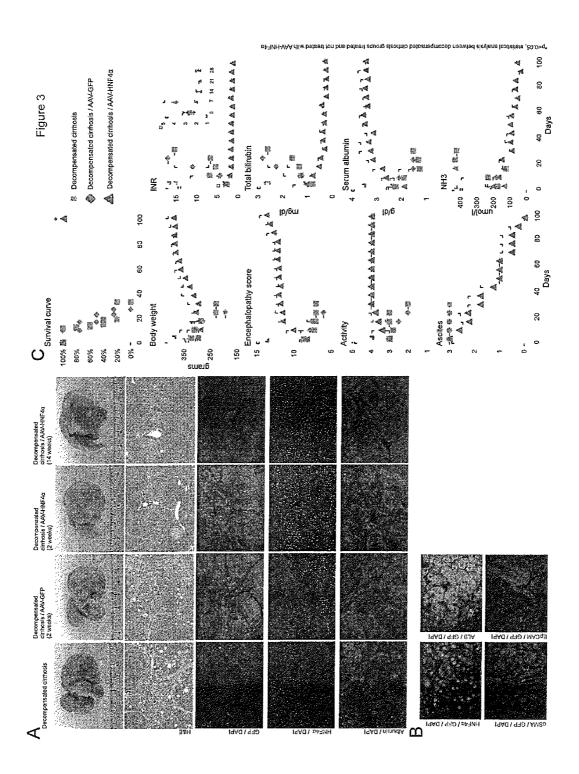
- (51) Int. Cl. *C12N 15/113* (2006.01)

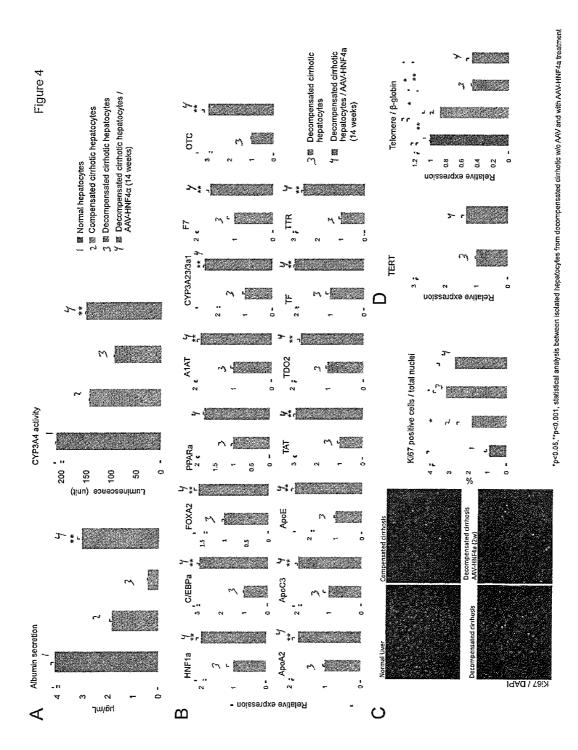
(57) **ABSTRACT**

The presently disclosed invention is directed to the discovery that hepatocyte nuclear factor 4 alpha (HNF4 α ; also known as NR2A1), a transcription factor, reverses hepatocyte dysfunction in an animal model of cirrhosis, resulting in improvement in hepatic function, treatment of cirrhosis, and prolonged survival.



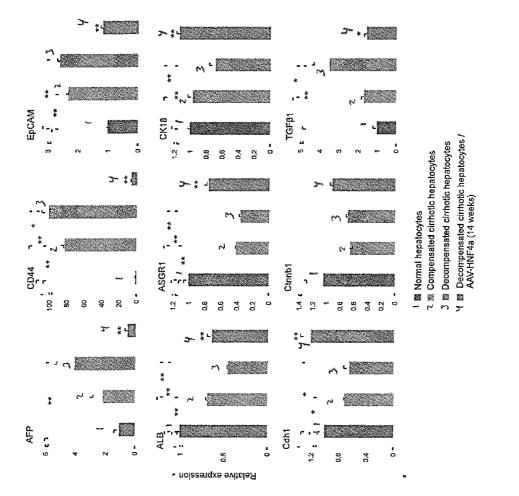






*p<0.05,**p<0.001, statistical analysis between isolated hepatocytes from decompensated cirrhotic w/o AAV and with AAV-HNF4a treatment

Figure 5



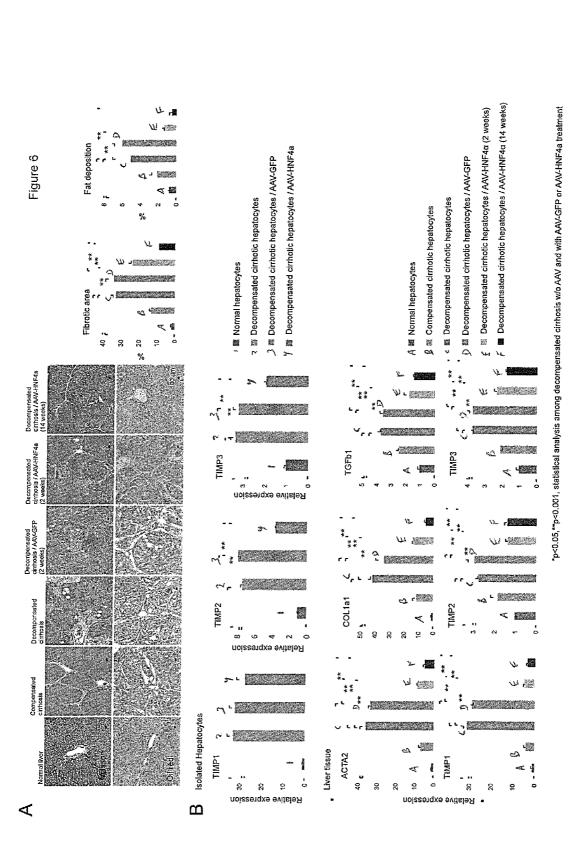


Figure 7A

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gtgcgtggtg	gacaaagaca	agaggaacca	gtgccgctac	tgcaggctca	agaaatgctt	301
ccgggctggc	atgaagaagg	aageegteea	gaatgagcgg	gaccggatca	gcactcgaag	361
gtcaagctat	gaggacagca	gcctgccctc	catcaatgcg	ctcctgcagg	cggaggteet	421
gtcccgacag	atcacctccc	ccgtctccgg	gatcaacggc	gacattcggg	cgaagaagat	481
tgccagcatc	gcagatgtgt	gtgagtccat	gaaggagcag	ctgctggttc	tcgttgagtg	541
ggccaagtac	atcccagett	tctgcgagct	ccccctggac	gaccaggtgg	ccctgctcag	601
ageccatget	ggcgagcacc	tgctgctcgg	agccaccaag	agatccatgg	tgttcaagga	661
cgtgctgctc	ctaggcaatg	actacattgt	ccctcggcac	tgcccggagc	tggcggagat	721
gagccgggtg	tccatacgca	tccttgacga	gctggtgctg	cccttccagg	agctgcagat	781
cgatgacaat	gagtatgcct	acctcaaagc	catcatcttc	tttgacccag	atgccaaggg	841
gctgagcgat	ccagggaaga	tcaagcggct	gcgttcccag	gtgcaggtga	gcttggagga	901
ctacatcaac	gaccgccagt	atgactcgcg	tggccgcttt	ggagagetge	tgetgetget	961
geccacettg	cagagcatca	cctggcagat	gatcgagcag	atccagttca	tcaagetett	1021
			ggagatgctg			1081
ccaggagggg	cggggttgga	gtggggactc	cccaggagac	aggceteaca	cagtgagete	1141
accecteage	tccttggctt	ccccactgtg	ccgctttggg	caagttgctt	aacctgtctg	1201
tgeeteagtt	tcctcaccag	aaaaatggga	acaaggcaat	ggtctatttg	ttcaggcacc	1261
gagaacctag	cacgtgccag	tcactgttct	aagtgctggc	aattcagcaa	agaacaagat	1321
ctttgccctc	ggggaggctg	tgtgtgtgtg	agtatgtatg	gatgcgtgga	tatctgtgta	1381
					ttgaaataaa	
caggtaata		-		-		

## Figure 7B

GI:31077207 (SEQ ID NO:7)

mrlsktlvdm dmadysaald payttlefen vqvltmgndt spsegtnlna pnslgvsalc 61 aicgdratgk hygassedge kgffrrsvrk nhmyserfsr qevvdkdkrn qeryerlkke 121 fragmkkeav querdristr rssyedsslp sinallqaev lsrqitspvs gingdirakk 181 iasiadvces mkeqllvlve wakyipafce lplddqvall rahagehlll gatkrsmvfk 241 dvlllgndyi vprhcpelae msrvsirild elvlpfqelq iddneyaylk aiiffdpdak 301 glsdpgkikr lrsqvqvsle dyindrqyds rgrfgellll lptlqsitwq mieqiqfikl 361. fqmakidnll gemllqqsps daphahhplh phlmqehmqt nvivantmpt hlsnqcmstp 421 etpqpsppgg sgsepykllp gavativkpl saipqptitk qevi

## GI:31077205 (SEO ID NO:8)

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#### GI:31077209 (SEQ ID NO:9)

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#### GI:71725339 (SEO ID NO:10)

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## GI:71725341 (SEQ ID NO:11)

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NY02:766596.1

rvsirildel vlpfqelqid dneyaylkai iffdpdakgl sdpgkikrlr sqvqvsledy 301 indrqydsrg rfgellllp tlqsitwqmi eqiqfiklfg makidnllqe mllggspsda 361 phahhplhph lmqehmgtnv ivantmpthl sngqmstpet pqpsppggsg sepykllpga 421 vativkplsa ipqptitkqe vi

GI:71725336 (SEQ ID NO:12)

mvsvnaplga pvessydtsp segtnlnapn slgvsalcai cgdratgkhy gasscdgckg 61 ffrrsvrknh myscrfsrgc vvdkdkrngc rycrlkkcfr agmkkeavgn erdristrrs 121 syedsslpsi nallgaevls rgitspysgi ngdirakkia siadvcesmk eqllvlvewa 181 kyipafcelp lddqvallra hagehlllga tkrsmvfkdv lllgndyivp rhcpelaems 241 rvsirildel vlpfqelqid dneyaylkai iffdpdakgl sdpgkikrlr sqvqvsledy 301 indraydsrg rfgelllllp tlqsitwqmi eqiqfiklfg makidnllqe mllggpcqaq 361 egrgwsgdsp gdrphtvssp lsslasplcr fgqva

#### METHODS FOR THE TREATMENT AND PREVENTION OF LIVER DISEASE

## CROSS-REFERENCE TO RELATED APPLICATIONS

**[0001]** This application claims priority to U.S. Application Ser. No. 61/763,744 filed Feb. 12, 2013, the contents of which are incorporated by reference in its entirety.

## GRANT INFORMATION

**[0002]** This invention was made with government support under DK048794 awarded by the National Institutes of Health. The government has certain rights in the invention.

#### BACKGROUND

**[0003]** Cirrhosis of the liver, a disease that is difficult to manage, is responsible for 1.2% of all U.S. deaths (1). Cirrhosis is most commonly caused by alcoholism, hepatitis B and hepatitis C, and fatty liver disease, but has many other possible causes such as non-alcoholic steatohepatitis, primary biliary cirrhosis, primary sclerosing cholangitis, autoimmune hepatitis, hereditary hemochromatosis, Wilson's disease, or alpha 1-antitrypsin deficiency.

**[0004]** Late stages of cirrhosis are characterized by portal hypertension and hepatic encephalopathy, terminal extrahepatic processes that result from fibrosis and vascular remodeling of the cirrhotic liver (2,3). These pathologies are super-imposed on liver failure, which results from the inability of hepatocytes to adequately synthesize coagulation factors, conjugate and secrete bilirubin, and regulate metabolism (4-7). Generally, liver damage from cirrhosis cannot be reversed. Therefore, aggressive management can extend life, but the only definitive therapy for end-stage cirrhosis is orthotopic liver transplantation (8).

**[0005]** The cause of organ failure in cirrhosis is poorly understood, but impaired hepatocytes have both intrinsic damage and reside in an abnormal microenvironment (2-8). Studies have shown that somatic cells can be reprogrammed into pluripotent stem cells and fibroblasts or hepatocytes can be reprogrammed into other mature cell lineages following forced expression of selected transcription factors (9,10), although these methods have not been proven in vivo for the treatment of cirrhosis. Accordingly, there is a need for new methods to treat and/or manage cirrhosis and chronic liver disease.

#### SUMMARY

**[0006]** The presently disclosed invention is directed to the discovery that hepatocyte nuclear factor 4 alpha (HNF4 $\alpha$ ; also known as NR2A1), a transcription factor, reverses hepatocyte dysfunction in an animal model of cirrhosis, resulting in improvement in hepatic function, treatment of cirrhosis, and prolonged survival.

**[0007]** Accordingly, in one aspect, the present invention provides a method of treating or reducing or preventing hepatic failure or cirrhosis in a subject, e.g., a human subject, comprising administering a therapeutically effective amount of a pharmaceutical composition comprising an HNF4 $\alpha$  agonist to the subject. In one embodiment, the agonist is a small molecule. In another embodiment, the agonist is an HNF4 $\alpha$  nucleic acid molecule. For example, the HNF4 $\alpha$  nucleic acid molecule and the therapeutic vector comprising a nucleic acid molecule encoding HNF4 $\alpha$ . In one embodiment, a hepa-

tocyte from the subject can be transduced with the therapeutic vector in vitro and reintroduced into the subject, or transduced in vivo. In another embodiment, the agonist is an HNF4 $\alpha$  protein or functional fragment thereof or a peptidomimetic thereof.

**[0008]** In another embodiment, the present invention provides a method of treating or preventing hepatic failure or cirrhosis in a subject comprising administering a therapeutically effective amount of a pharmaceutical composition comprising an agonist to a hepatic network factor regulated by HNF4 $\alpha$ , such as, for example, HNF1 $\alpha$ , FOXA2, or CEBP $\alpha$ , alone or in combination with an HNF4 $\alpha$  agonist.

**[0009]** In some embodiments, the agonist is administered alone or in combination with one or more other agent or procedure used for the treatment or prevention of hepatic failure or cirrhosis or symptoms thereof, e.g., interferon therapy, diuretic drugs, transjugular intrahepatic portosystemic shunt (TIPS), paracentesis, antibiotics, drugs for the prevention of variceal bleeding, lactulose, changes to diet, and/or abstinence from alcohol. In one embodiment, the HNF4 $\alpha$  agonist is administered in a subject as a bridge to liver transplantation.

#### BRIEF DESCRIPTION OF THE FIGURES

**[0010]** FIGS. 1A-E. Characterization of the hepatocyte transcription factor network in decompensated cirrhosis. (A) Expression changes in the hepatocyte transcription factor network in decompensated cirrhosis (microarray data). (B) Immunohistochemistry; magnification, ×100 and (cytospins) ×200 (C) qPCR and (D) western blot for HNF4 $\alpha$  expression in liver tissue and isolated hepatocytes from compensated and decompensated cirrhotic livers. Normal age-matched livers or hepatocytes were used as controls.  $\beta$ -actin was used as the PCR control. (E) Expression of liver-specific genes and genes affected downstream of HNF4 $\alpha$ .

**[0011]** FIGS. **2**A-B. Effect of HNF4 $\alpha$  re-expression in isolated hepatocytes from decompensated cirrhotic livers. (A) qRT-PCR analysis of hepatocyte transcription factor network and hepatocyte-specific genes. (B) Albumin synthesis and cytochrome P450 (CYP3A4) activity. Studies were carried out on culture day 2 and compare hepatocytes from normal liver and decompensated cirrhotic livers, the latter also treated with AAV-HNF4 $\alpha$ -GFP or AAV-GFP.

**[0012]** FIGS. **3**A-C. Effect of HNF4 $\alpha$  re-expression in rats with decompensated cirrhosis and liver failure. (A) Gross and microscopic (hematoxylin & eosin and fluorescence staining) assessment of decompensated cirrhotic livers two and fourteen weeks after intervention. Cirrhotic rats with continued severe liver failure, four weeks after their last dose of CCL4, were given a recombinant AAV expressing either HNF4 $\alpha$  and GFP or GFP only; microscopic magnification ×100. (B) Fluorescence staining for GFP and co-staining for hepatocyte (albumin) or non-parenchymal liver cell ( $\alpha$ -SMA and EpCAM) markers; magnification ×200. (C) Survival and clinical parameters of liver failure in control, AAV-GFP and AAV-HNF4 $\alpha$ -GFP-treated animals with decompensated cirrhosis.

**[0013]** FIGS. **4**A-D. Characterization of hepatocytcs recovered from treated decompensated cirrhotic rats after HNF4 $\alpha$  re-expression. (A) Albumin synthesis and Cytochrome P450 (CYP3A4) activity in decompensated cirrhotic hepatocytes recovered 14 weeks after AAV-HNF4 $\alpha$ /GFP treatment. Hepatocytes recovered from normal livers and compensated cirrhotic livers were used as controls. (B) qRT-

PCR analysis of hepatocyte transcription factor network and hepatocyte-specific gene expression in hepatocytes recovered from decompensated cirrhotic livers and decompensated cirrhotic livers 14 weeks after AAV-HNF4 $\alpha$ /GFP treatment. (C) Fluorescence staining for Ki67, a proliferation marker, and it's quantification in AAV-HNF4 $\alpha$ -GFP-treated decompensated cirrhotic hepatocytes; magnification ×200 (D) qRT-PCR analysis for TERT expression and telomere length by genomic DNA analysis.

**[0014]** FIG. **5**. Effect of HNF4 $\alpha$  re-expression on expression of hepatic progenitor and liver-specific genes in hepatocytes from decompensated cirrhotic livers. qPCR analyses of hepatic progenitor markers (AFP, CD44 and EpCAM), mature hepatic specific genes (Albumin, ASGPR1, CK18), epithelial cell related genes (Cdh1 and Ctnnb1) and the protein TGF $\beta$ 1. Each value represents the mean±SD. Statistical analysis was performed among three groups (normal, compensated and decompensated cirrhotic hepatocytes) and between un-treated decompensated cirrhotic hepatocytes and decompensated cirrhotic hepatocytes and decompensated cirrhotic hepatocytes and HNF4 $\alpha$  re-expression (*p<0.05,**P<0.001).

[0015] FIGS. 6A-B. Effect of HNF4 $\alpha$  re-expression on histology and fibrosis-related genes in rats with decompensated cirrhosis and liver failure. (A) Masson and oil red stained photomicrographs with quantification of fibrosis and fat deposition in decompensated cirrhotic rat livers two and fourteen weeks after AAV-HNF4a/GFP therapy. Normal control, compensated cirrhotic and un-treated or AAV-GFP treated decompensated cirrhotic livers were used as controls. Fibrosis and fat deposition decreased significantly two and fourteen weeks after HNF4a re-expression. Magnification ×100 (B) qPCR analysis of fibrosis-related genes (TIMP1, TIMP2, TIMP3, ACTA2, COL1a1, and TGFβ1) from isolated hepatocytes and tissue recovered from decompensated cirrhotic livers. HNF4a re-expression induced down regulation of TIMP2 and TIMP3. Each value represents the mean±SD. Statistical analysis was performed among four groups (control decompensated cirrhotic hepatocytes, and decompensated cirrhotic hepatocytes 2 after AAV-GFP treatment, or 2 and 14 weeks after AAV-HNF4a/GFP treatment (*p<0.05,**P<0.001).

[0016] FIGS. 7A-B. (A) Exemplary human HNF4 $\alpha$  nucleic acid sequences, as set forth in SEQ ID NOs:1-6, include HNF4 $\alpha$  transcript variants 1-6, respectively, set forth as Genbank accession numbers GI:385298690, GI:385298688, GI:385298687, respectively. (B) Exemplary human HNF4 $\alpha$  amino acid sequences, as set forth in SEQ ID NOs:7-12, include HNF4 $\alpha$  transcript variants a-f, respectively, set forth as Genbank accession numbers GI:31077207, GI:31077205, GI:31077209, GI:71725339, GI:71725341, and GI:71725336, respectively.

#### DETAILED DESCRIPTION

**[0017]** The present disclosure describes the discovery that the forced expression of hepatocyte nuclear factor 4 alpha (HNF4 $\alpha$ ; also known as NR2A1), a transcription factor, reverses hepatocyte dysfunction in cirrhosis in vivo. In particular, the present inventors have found that transduction of hepatocytes in cirrhotic animals with irreversible decompensated function produced a profound and immediate improvement in hepatic function and prolonged survival.

**[0018]** Furthermore, the present inventors confirm the role of HNF4 $\alpha$  as an important regulator of the hepatocyte tran-

scription factor network and a master gene for liver function that is down-regulated in advanced cirrhosis. Down-regulation of HNF4 $\alpha$  has a profound effect on the end-stage cirrhotic hepatocyte in vitro, as replenishment of this single factor revitalizes hepatocyte function.

**[0019]** As described herein, using an animal model of cirrhosis and progressive liver failure (11), the inventors have shown that end-stage cirrhotic hepatocytes, previously considered to be senescent and irreversibly dysfunctional, can quickly revert to normal function following transduction with the transcription factor HNF4 $\alpha$ , even though surrounded by an abnormal extracellular matrix. HNF4 $\alpha$  reexpression immediately corrected the phenotype of cultured cirrhotic hepatocytes and reversed terminal end-stage cirrhosis and liver failure in vivo in this animal model. Normalization of function took place in two weeks while portal hypertension, evidenced by the presence of ascites, regressed when histological reversal of cirrhosis was more complete. It was found that HNF4 $\alpha$  acted by phenotypically correcting diseased hepatocytes, not by stimulating their replacement.

**[0020]** Accordingly, the invention provides methods for treating liver failure and cirrhosis (and/or improving liver function in a subject having a cirrhotic liver), comprising administering a therapeutically effective amount of an HNF4 $\alpha$  agonist, e.g., a HNF4 $\alpha$  nucleic acid, protein or functional fragment thereof, peptidomimetic, small molecule, or other drug candidate, to a subject, e.g., a mammal. In one embodiment, the HNF4 $\alpha$  agonist is a therapeutic vector comprising a nucleic acid molecule encoding HNF4 $\alpha$  protein or a functional fragment thereof. In another embodiment, the HNF4 $\alpha$  agonist is a small molecule agonist.

**[0021]** In another embodiment, the present invention provides a method of treating or preventing hepatic failure or cirrhosis in a subject comprising administering a therapeutically effective amount of a pharmaceutical composition comprising an agonist to a hepatic network factor regulated by HNF4 $\alpha$ , such as, for example, HNF1 $\alpha$ , FOXA2, or CEBP $\alpha$  (Locker J., Transcriptional Control of Hepatocyte Differentiation. In: Monda S P, editor, Molecular Pathology of Liver Disease. London, Academic Press; 2001; Kyrmizi, et al. 2006, *Genes Dev.* 20(16):2293-305; Odom et al. 2006 *Mol. Sys. Biol.* 2:2006), alone or in combination with an HNF4 $\alpha$  agonist.

**[0022]** An "individual" or "subject" herein is a vertebrate, such as a human. Mammals include, but are not limited to, humans, primates, farm animals, sport animals, rodents and pets.

**[0023]** An "effective amount" of a substance as that term is used herein is that amount sufficient to effect beneficial or desired results, including clinical results, and, as such, an "effective amount" depends upon the context in which it is being applied. In the context of administering a composition that improves liver function, improves liver histology (e.g., fibrosis), or treats liver failure or cirrhosis, an effective amount of an HNF4 $\alpha$  agonist is an amount sufficient to achieve such a modulation as compared to the liver function, histology, or level of cirrhosis when there is no an HNF4 $\alpha$  agonist administered. An effective amount can be administered in one or more administrations.

**[0024]** As used herein, and as well-understood in the art, "treatment" is an approach for obtaining beneficial or desired results, including clinical results. For purposes of this subject matter, beneficial or desired clinical results include, but are not limited to, alleviation or amelioration of one or more symptoms, diminishment of extent of disease, stabilized (i.e., not worsening) state of disease, prevention of disease, delay or slowing of disease progression, and/or amelioration or palliation of the disease state. "Treatment" can also mean prolonging survival as compared to expected survival if not receiving treatment.

#### Agonists

**[0025]** The term "HNF4 $\alpha$  therapeutic" refers to various forms of HNF4 $\alpha$  nucleic acids, polypeptides, peptidomimetics, or small molecules, which can increase expression of liver-specific genes, increase CYP activity, increase liver function, improves liver histology (e.g., fibrosis), treat or prevent cirrhosis, or prolong survival in a subject suffering from liver failure. An HNF4 $\alpha$  therapeutic that mimics, potentiates, or increases the activity of a wild-type HNF4 $\alpha$  polypeptide is a "HNF4 $\alpha$  agonist." An HNF4 $\alpha$  therapeutic includes a gene therapy vector comprising an HNF4 $\alpha$  nucleic acid sequence.

**[0026]** The term "agonist," as used herein, is meant to refer to an agent that mimics or upregulates (e.g., increases, potentiates or supplements) HNF4 $\alpha$  expression or bioactivity, or expression or bioactivity of a hepatic network factor regulated by HNF4 $\alpha$ , such as, for example, HNF1 $\alpha$ , FOXA2, or CEBP $\alpha$ .

[0027] An HNF4 $\alpha$  agonist can be a small molecule, a wildtype HNF4 $\alpha$  nucleic acid, protein, derivative, or functional fragment thereof having at least one bioactivity of the wildtype HNF4 $\alpha$  and the ability to increase expression of liverspecific genes, increase CYP activity, increase liver function, improve liver histology (e.g., fibrosis), treat or prevent cirrhosis, or prolong survival in a subject suffering from liver failure. In one embodiment, an HNF4 $\alpha$  agonist is an agent that upregulates expression (which includes forced reexpression) of an HNF4 $\alpha$  gene. An agonist can also be a compound which increases the interaction of a HNF4 $\alpha$  polypeptide with another molecule. Accordingly, a HNF4a agonist includes a nucleic acid (e.g., using adenoviral expression), micro RNA, e.g., miR-122, which has been shown to be regulated by HNF4α, (Li, et al, 2011 J. Hepatol. 55(3):602-11), a peptidomimetic, protein, or functional fragment thereof, peptide, or small molecule (or other drug candidate) that increases the expression or activity of HNF4 $\alpha$ .

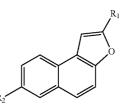
**[0028]** Accordingly, an agonist against a hepatic network factor regulated by HNF4 $\alpha$ , such as, for example, HNF1 $\alpha$ , FOXA2, or CEBP $\alpha$  includes a nucleic acid (e.g., using adenoviral expression), micro RNA, a peptidomimetic, protein, or functional fragment thereof, peptide, or small molecule (or other drug candidate) that increases the expression or activity of a hepatic network factor regulated by HNF4 $\alpha$ .

[0029] Small Molecule Agonists

**[0030]** Small molecule agonists can be used in the methods of the invention to increase expression or activity of HNF4 $\alpha$  or a hepatic network factor regulated by HNF4 $\alpha$ , such as, for example, HNF1 $\alpha$ , FOXA2, or CEBP $\alpha$ . In one embodiment, exemplary small molecules that can be used in the methods of the invention are described in Le Guével R et al. Bioorg. Med Chem., 2009 Oct. 1; 17(19):7021-30. The small molecules identified therein are synthetic compounds bearing a methoxy group branched on a nitronaphthofuran backbone. The nitro group and a complete naphthofuran backbone were required for full activity of the small molecules tested. Furthermore, adding a hydroxy group at position 7 of the minimal backbone led to an active compound. These compounds were found to

be highly toxic in a human HepG2C3A hepatoma cell assay, except when methylated on the furan ring. One such compound was able to modulate HNF4 $\alpha$ -driven transcription in transfected HepG2C3A cell. Therefore, HNF4 $\alpha$  activity can be modulated (e.g., increased) by small molecules.

**[0031]** In certain non-limiting embodiments, the small molecule comprises the structure of Formula I:

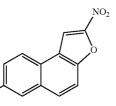


wherein  $R_1$  is selected from the group consisting of NO₂, COOEt, COOH, CONH₂, and H; and wherein  $R_2$  is selected from the group consisting of OCH₃, H, OH, OCH₂COOEt, OCH₂COOH, and OMe; wherein Me is methyl and Et is ethyl.

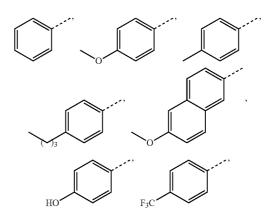
**[0032]** In certain non-limiting embodiments, the small molecule comprises the structure of Formula I wherein  $R_1$  is NO₂ and  $R_2$  is OH.

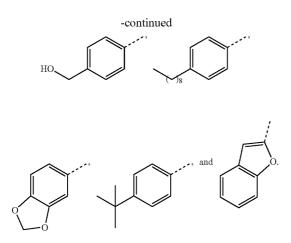
[0033] In certain non-limiting embodiments, the small molecule comprises the structure of Formula I wherein  $R_1$  is CONH₂ and  $R_2$  is H.

**[0034]** In certain non-limiting embodiments, the small molecule comprises the structure of Formula II:



wherein R is selected from the group consisting of:

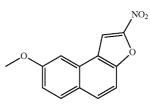




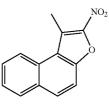
[0035] In certain non-limiting embodiments, the small molecule comprises the structure of Formula III:

[0038] In certain non-limiting embodiments, the small molecule comprises the structure of Formula VI:

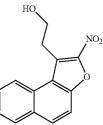
4



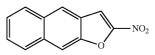
[0039] In certain non-limiting embodiments, the small molecule comprises the structure of Formula VII:



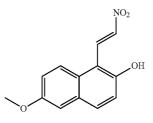
[0040] In certain non-limiting embodiments, the small molecule comprises the structure of Formula VIII:

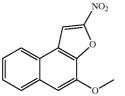


[0041] In certain non-limiting embodiments, the small molecule comprises the structure of Formula IX:

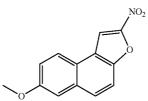


[0042] In certain non-limiting embodiments, the small molecule comprises the structure of Formula X:

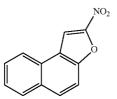


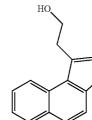


[0036] In certain non-limiting embodiments, the small molecule comprises the structure of Formula IV:

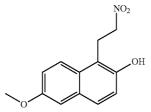


[0037] In certain non-limiting embodiments, the small molecule comprises the structure of Formula V:

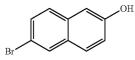




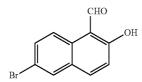
**[0043]** In certain non-limiting embodiments, the small molecule comprises the structure of Formula XI:



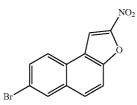
**[0044]** In certain non-limiting embodiments, the small molecule comprises the structure of Formula XII:



**[0045]** In certain non-limiting embodiments, the small molecule comprises the structure of Formula XIII:



**[0046]** In certain non-limiting embodiments, the small molecule comprises the structure of Formula XIV:



[0047] HNF4α Nucleic Acid Agonists

**[0048]** The term "HNF4 $\alpha$  nucleic acid" or "HNF4 $\alpha$ " refers to a nucleic acid encoding an HNF4 $\alpha$  protein, such as, but not limited to, nucleic acids having SEQ ID NOs:1-6 (Genbank accession numbers GI:385298690, GI:385298689, GI:385298691, GI:385298688, GI:385298686, and GI:385298687, respectively; FIG. **7**A), fragments thereof, complement thereof, and derivatives thereof.

[0049] The invention further provides for the use of variant HNF4 $\alpha$  polynucleotides, and fragments thereof, that differ from the nucleotide sequence shown in SEQ ID NOs: 1-6 due to degeneracy of the genetic code and thus encode the same protein as that encoded by the nucleotide sequences shown in SEQ ID NOs: 1-6.

**[0050]** The invention also provides for the use of HNF4 $\alpha$  nucleic acid molecules encoding the variant polypeptides described above. Such polynucleotides may be naturally occurring, such as allelic variants (same locus), homologs

(different locus), and orthologs (different organism), or may be constructed by recombinant DNA methods or by chemical synthesis. Such non-naturally occurring variants may be made by mutagenesis techniques, including those applied to polynucleotides, cells, or organisms. Accordingly, as discussed herein, the variants can contain nucleotide substitutions, deletions, inversions and insertions.

**[0051]** Typically, variants have a substantial identity with a nucleic acid molecules of SEQ ID NOS:1-6 and the complements thereof. Variation can occur in either or both the coding and non-coding regions. The variations can produce both conservative and non-conservative amino acid substitutions.

**[0052]** Orthologs, homologs, and allelic variants can be identified using methods well known in the art. These variants comprise a nucleotide sequence encoding a polypeptide that is typically at least about 60-65%, 65-70%, 70-75%, more typically at least about 80-85%, and most typically at least about 90-95% or more homologous to the nucleotide sequence shown in SEQ ID NOS:1-6 or a fragment of this sequence. Such nucleic acid molecules can readily be identified as being able to hybridize under stringent conditions, to the nucleotide sequence shown in SEQ ID NOS:1-6 or a fragment of the sequence.

**[0053]** In one embodiment, nucleic acids comprising sequences encoding HNF4 $\alpha$  protein are administered to treat or prevent liver failure or cirrhosis, by way of gene therapy. Gene therapy refers to therapy performed by the administration to a subject of an expressed or expressible nucleic acid. In this embodiment of the invention, the nucleic acids produce their encoded protein that mediates a therapeutic effect.

[0054] Any of the methods for gene therapy available in the art can be used according to the present invention. Exemplary methods are described below. For a review of gene therapy in the liver, see Domvri, et al., Current Gene Therapy 12(6): 463-483(21) (2012) and Atta, World J Gastroenterol. 2010 Aug. 28; 16(32): 4019-4030. For general reviews of the methods of gene therapy, see Kron and Kreppel, Curr Gene Ther 12(5):362-73 (2012); Yi et al. Curr Gene Ther 11(3):218-28 (2011); Goldspiel et al., Clinical Pharmacy 12:488-505 (1993); Wu and Wu, Biotherapy 3:87-95 (1991); Tolstoshev, Ann. Rev. Pharmacol. Toxicol. 32:573-596 (1993); Mulligan, Science 260:926-932 (1993); and Morgan and Anderson, Ann. Rev. Biochem. 62:191-217 (1993); May, TIBTECH 11(5):155-215 (1993). Methods commonly known in the art of recombinant DNA technology which can be used are described in Ausubel et al. (eds.), Current Protocols in Molecular Biology, John Wiley & Sons, NY (1993); and Kriegler, Gene Transfer and Expression, A Laboratory Manual, Stockton Press, NY (1990).

**[0055]** In a preferred aspect, the compound comprises nucleic acid sequences encoding an HNF4 $\alpha$  polypeptide or functional fragment thereof, said nucleic acid sequences being part of expression vectors that express the HNF4 $\alpha$  polypeptide or functional fragments thereof in a suitable host. In particular, such nucleic acid sequences have promoters operably linked to the HNF4 $\alpha$  coding region, said promoter being inducible or constitutive, and, optionally, tissue-specific. Because of their universal activity, viral promoters were components of many first-generation vectors. However, many of the viral promoters, such as the cytomegalovirus (CMV) promoter, are attenuated or completely shut-off in organs such as the liver. In comparison to viral or housekeeping promoters, tissue- or liver-specific promoters direct

higher levels of expression in vivo. (Acta, World J Gastroenterol. 2010 Aug. 28; 16(32): 4019-4030).

**[0056]** Delivery of nucleic acid into a subject or hepatocyte may be either direct, in which case the subject or hepatocyte is directly exposed to the nucleic acid or nucleic acid-carrying vectors, or indirect, in which case, hepatocytes are first transformed with the nucleic acids in vitro, then transplanted into the patient. These two approaches are known, respectively, as in vivo or ex vivo gene therapy.

[0057] The nucleic acid may be directly administered in vivo, where it is expressed to produce the encoded product. This can be accomplished by any of numerous methods known in the art, e.g., by constructing them as part of an appropriate nucleic acid expression vector and administering it so that they become intracellular, e.g., by infection using defective or attenuated retrovirals or other viral vectors (see U.S. Pat. No. 4,980,286), or by direct injection of naked DNA, or by use of microparticle bombardment (e.g., a gene gun; Biolistic, Dupont), or coating with lipids or cell-surface receptors or transfecting agents, encapsulation in liposomes, microparticles, or microcapsules, or by administering them in linkage to a peptide which is known to enter the nucleus, by administering it in linkage to a ligand subject to receptormediated endocytosis (see, e.g., Wu and Wu, J Biol. Chem. (1987); 262:4429-4432). The nucleic acid-ligand complexes can also be formed in which the ligand comprises a fusogenic viral peptide to disrupt endosomes, allowing the nucleic acid to avoid lysosomal degradation. In addition, the nucleic acid can be targeted in vivo for cell specific uptake and expression, by targeting a specific receptor (see, e.g., PCT Publications WO 92/06180; WO 92/22635; WO92/20316; WO93/14188, WO 93/20221). Alternatively, the nucleic acid can be introduced intracellularly and incorporated within host cell DNA for expression, by homologous recombination (Koller and Smithies, Proc. Natl. Acad. Sci. USA (1989); 86:8932-8935; Zijlstra et al., Nature (1989); 342:435-438).

**[0058]** The liver is an attractive target for gene therapy because hepatocytes are readily accessible via the blood stream. The endothelium of hepatic sinusoids displays fenes-trations that are 100 nm wide and that allow macromolecules such as viral particles to cross the endothelium and reach hepatocytes. Moreover, the hepatic blood flow represents one-fifth of the cardiac output. Thus, any particle injected into the blood circulation can quickly reach the liver. For this reason, the vascular route is commonly used. (Atta, World J Gastroenterol. 2010 Aug. 28; 16(32): 4019-4030).

**[0059]** A method for inravascular regional hydrodynamic delivery of vectors has been developed. The method entails the use of an occlusion balloon catheter into the inferior vena cava and retro dynamically injecting the plasmid in saline solution towards the liver and through the hepatic vein. This retrodynamic hepatic vein gene delivery method has been performed in pigs, and led to liver transgene expression. (Crespo A, Gene Ther. 2005; 12:927-935; Eastman S J, Hum Gene Ther. 2002; 13:2065-2077; Brunetti-Pierri N, Mol Ther. 2007; 15:732-740; Dariel A, J Pediatr Surg. 2009; 44:517-522).

**[0060]** Retrograde administration of adenoviruses into the common bile duct has been shown to induce efficient transgene expression in the liver without causing severe adverse effects, thus supporting the feasibility of adenovirus-mediated gene transfer into the liver in clinical settings by means of endoscopic retrograde cholangiography. (Kuriyama S, Int J Mol Med. 2005; 16:503-508; Kuriyama S, Oncol Rep.

2005; 13:825-830; Peeters M J, Hum Gene Ther. 1996; 7:1693-1699). Repeat administration of adenoviruses into the common bile duct is successful in re-expressing the transgene in the liver. (Tominaga K, Gut. 2004; 53:1167-1173; Tsujinoue H, Int J Oncol. 2001; 18:575-580).

**[0061]** Another method for delivery of gene products into liver cells is also described in U.S. Patent Application Publication No. 20100010068. This method involves limiting blood flow to the liver during infusion of the vector into the liver.

**[0062]** In a specific embodiment, a viral vector that contains nucleic acid encoding an HNF4 $\alpha$  polypeptide or a functional fragment thereof may be used. For example, a retroviral vector can be used (see Miller et al., Meth. Enzymol. (1993); 217:581-599). These retroviral vectors contain the components necessary for the correct packaging of the viral genome and integration into the host cell DNA. More detail about retroviral vectors can be found in Boesen et al., Biotherapy (1994); 6:291-302. Other references illustrating the use of retroviral vectors in gene therapy are: Anson, Genet Vaccines Ther 13; 2(1):9 (2004); Clowes et al., *J. Clin. Invest.* (1994); 93:644-651; Kiem et al., Blood (1994); 83:1467-1473; Salmons and Gunzberg, Human Gene Therapy (1993); 4:129-141; and Grossman and Wilson, Curr. Opin. in Genetics and Devel. (1993); 3:110-114.

[0063] Adenoviruses are especially attractive vehicles for delivering genes. Adenoviruses naturally infect respiratory epithelia where they cause a mild disease. Other targets for adenovirus-based delivery systems include the liver. Adenoviruses have the advantage of being capable of infecting non-dividing cells. Kron and Kreppel, Curr Gene Ther 12(5): 362-73 (2012) and Kozarsky and Wilson, Current Opinion in Genetics and Development 3:499-503 (1993) present a review of adenovirus-based gene therapy. Bout et al., Human Gene Therapy 5:3-10 (1994) demonstrated the use of adenovirus vectors to transfer genes to the respiratory epithelia of rhesus monkeys. Other instances of the use of adenoviruses in gene therapy can be found in Rosenfeld et al., Science 252: 431-434 (1991); Rosenfeld et al., Cell 68:143-155 (1992); Mastrangeli et al., J. Clin. Invest. 91:225-234 (1993); PCT Publication WO94/12649; and Wang, et al., Gene Therapy 2:775-783 (1995). In one embodiment, adenovirus vectors are used.

**[0064]** Adeno-associated virus (AAV) may also be used (Zhong et al. J Genet Syndr Gene Ther January 10; S1. pii: 008; High, K A, Blood, 120(23):4482-7 (2012); Walsh et al., Proc. Soc. Exp. Biol. Med. 204:289-300 (1993); U.S. Pat. No. 5,436,146). In a preferred embodiment, AAV vectors are used. Vectors that can be used in gene therapy are discussed below in detail below.

**[0065]** Another approach to gene therapy involves transferring a gene to a hepatocyte in tissue culture by such methods as electroporation, lipofection, calcium phosphate mediated transfection, or viral infection. Usually, the method of transfer includes the transfer of a selectable marker to the hepatocytes. The cells are then placed under selection to isolate those hepatocytes that have taken up and are expressing the transferred gene. Those hepatocytes are then delivered to a patient.

**[0066]** In one embodiment, a genetic construct expressing HNF4 $\alpha$  can be introduced into the subject's hepatocytes which can then be propagated ex vivo in, for example, a tolerized non-human animal with a chimeric liver as described in, for example, U.S. Pat. No. 6,525,242. Alterna-

tively, the hepatocytes may be used to colonize the liver of a tolerized animal prior to or contemporaneous with the introduction of the desired transgene via a gene therapy vector. The cells can then be harvested from the chimeric animal and reintroduced into the subject. Hepatocytes used for colonization may be enriched for cells containing the desired construct, for example, by selection by culture conditions, antibody/FACS methods, etc. which eliminate cells lacking the construct.

[0067] The nucleic acid can be introduced into a hepatocyte prior to administration in viva of the resulting recombinant hepatocyte. Such introduction can be carried out by any method known in the art, including but not limited to transfection, electroporation, microinjection, infection with a viral or bacteriophage vector containing the nucleic acid sequences, cell fusion, chromosome-mediated gene transfer, microcell-mediated gene transfer, spheroplast fusion, etc. Numerous techniques are known in the art for the introduction of foreign genes into cells (see, e.g., Loeffler and Behr, Meth. Enzymol. 217:599-618 (1993); Cohen et al., Meth. Enzymol. 217:618-644 (1993); Cline, Pharmac. Ther. 29:69-92 m (1985) and may be used in accordance with the present invention, provided that the necessary developmental and physiological functions of the recipient cells are not disrupted. The technique should provide for the stable transfer of the nucleic acid to the cell, so that the nucleic acid is expressible by the cell and preferably heritable and expressible by its cell progeny.

**[0068]** The resulting recombinant hepatocytes can be delivered to a patient by various methods known in the art. The amount of cells envisioned for use depends on the desired effect, patient state, etc., and can be determined by one skilled in the art.

**[0069]** Recombinant cells can also be used in gene therapy, where nucleic acid sequences encoding an HNF4 $\alpha$  protein or functional fragment thereof, are introduced into the cells such that they are expressible by the cells or their progeny, and the recombinant cells are then administered in viva for therapeutic effect. For example, stem or progenitor cells can be used. Any stem and/or progenitor cells which can be isolated and maintained in vitro can potentially be used (see e.g. PCT Publication WO 94/08598; Porada and Porada, J. Genet Syndr Gene Ther., May 25; S1. p11:011 (2012); Stemple and Anderson, Cell 71:973-985 (1992); Rheinwald, Meth. Cell Bio. 21A:229 (1980); and Pittelkow and Scott, Mayo Clinic Proc. 61:771 (1986)).

#### **[0070]** HNF4α Polypeptide Agonists

[0071] The terms "HNF4 $\alpha$  polypeptide," "HNF4 $\alpha$  protein' and "HNF4 $\alpha$ " are intended to encompass polypeptides comprising the amino acid sequence of SEQ ID NOs:7-12 (Genbank accession numbers GI:31077207, GI:31077205, GI:31077209, GI:71725339, GI:71725341, and GI:71725336, respectively; FIG. 7B), fragments thereof (e.g., functional fragments thereof), and variants thereof, and include agonist polypeptides.

**[0072]** In one embodiment, the present invention provides for the use of an isolated or purified HNF4 $\alpha$  polypeptide and variants and fragments thereof. The invention also encompasses the use of sequence variants. Variants include a substantially homologous protein encoded by the same genetic locus in an organism, i.e., an allelic variant. Variants also encompass proteins derived from other genetic loci in an organism, but having substantial homology to the HNF4 $\alpha$ protein of SEQ ID NOs: 7-12. Variants also include proteins substantially homologous to the HNF4 $\alpha$  protein but derived from another organism, i.e., an ortholog. Variants also include proteins that are substantially homologous to the HNF4 $\alpha$ protein that are produced by chemical synthesis. Variants also include proteins that are substantially homologous to the HNF4 $\alpha$  protein that are produced by recombinant methods.

**[0073]** As used herein, two polypeptides (or regions thereof) are substantially homologous when the amino acid sequences are at least about 60-65%, 65-70%, 70-75%, typically at least about 80-85%, and most typically at least about 90-95%, or 95-99% or more homologous. A substantially homologous amino acid sequence, according to the present invention, will be encoded by a nucleic acid sequence hybridizing to the nucleic acid sequence, or portion thereof, of the sequence shown in SEQ ID NOS:-7-12 under stringent conditions.

**[0074]** The HNF4 $\alpha$  proteins used in the methods of the invention also include HNF4 $\alpha$  polypeptides having additions, deletions or substitutions of amino acid residues (variants) which do not substantially alter the biological activity of the protein. Those individual sites or regions of HNF4 $\alpha$  which may be altered without affecting biological activity may be determined by examination of the structure of the HNF4 $\alpha$  binding domains, for example. Alternatively, one may empirically determine those regions which would tolerate amino acid substitutions by alanine scanning mutagenesis (Cunningham et al. Science 244, 1081-1085 (1989)). in this method, selected amino acid (e.g., alanine) in order to determine the effects on biological activity.

[0075] It is generally recognized that conservative amino acid changes are least likely to perturb the structure and/or function of a polypeptide. Accordingly, the invention encompasses one or more conservative amino acid changes within a HNF4 $\alpha$  protein. Conservative amino acid changes generally involve substitution of one amino acid with another that is similar in structure and/or function (e.g., amino acids with side chains similar in size, charge and shape). Families of amino acid residues having similar side chains have been defined in the art. These families include amino acids with basic side chains (e.g., lysine, arginine, histidine), acidic side chains (e.g., aspartic acid, glutamic acid), uncharged polar side chains (e.g., glycine, asparagine, glutamine, serine, threonine, tyrosine, cysteine, tryptophan), nonpolar side chains (e.g., alanine, valine, leucine, isoleucine, proline, phenylalanine, methionine), beta-branched side chains (e.g., threonine, valine, isoleucine) and aromatic side chains (e.g., tyrosine, phenylalanine, tryptophan, histidine). Thus, one or more amino acid residue within a HNF4 $\alpha$  protein can be replaced with other amino acid residues from the same side chain family and the altered protein can be tested for retained function using the functional assays described herein. Modifications can be introduced into an antibody of this disclosure by standard techniques known in the art, such as site-directed mutagenesis and PCR-mediated mutagenesis. If such substitutions result in a retention in biological activity, then more substantial changes may be introduced and/or other additions/deletions may be made and the resulting products screened. In one embodiment, deletions or additions can be from 5-10 residues, alternatively from 2-5 amino acid residues, or from 1-2 residues.

#### Vectors

**[0076]** The terms "vector" and "expression vector" mean the vehicle by which a DNA or RNA sequence (e.g., a foreign gene) can be introduced into a host cell, so as to transform the host and promote expression (e.g., transcription and translation) of the introduced sequence. Vectors include plasmids, phages, viruses, etc.; they are discussed in greater detail below. A "therapeutic vector" as used herein refers to a vector which is acceptable for administration to an animal, and particularly to a human.

[0077] Vectors typically comprise the DNA of a transmissible agent, into which foreign DNA is inserted. A common way to insert one segment of DNA into another segment of DNA involves the use of enzymes called restriction enzymes that cleave DNA at specific sites (specific groups of nucleotides) called restriction sites. Generally, foreign DNA is inserted at one or more restriction sites of the vector DNA, and then is carried by the vector into a host cell along with the transmissible vector DNA. A segment or sequence of DNA having inserted or added DNA, such as an expression vector, can also be called a "DNA construct." A common type of vector is a "plasmid", which generally is a self-contained molecule of double-stranded DNA, usually of bacterial origin, that can readily accept additional (foreign) DNA and which can readily introduced into a suitable host cell. A plasmid vector often contains coding DNA and promoter DNA and has one or more restriction sites suitable for inserting foreign DNA. Coding DNA is a DNA sequence that encodes a particular amino acid sequence for a particular protein or enzyme. Promoter DNA is a DNA sequence which initiates, regulates, or otherwise mediates or controls the expression of the coding DNA. Promoter DNA and coding DNA may be from the same gene or from different genes, and may be from the same or different organisms. A large number of vectors, including plasmid and fungal vectors, have been described for replication and/or expression in a variety of eukaryotic and prokaryotic hosts. Non-limiting examples include pKK plasmids (Clonetech), pUC plasmids, pET plasmids (Novagen, Inc., Madison, Wis.), pRSET plasmids (Invitrogen, San Diego, Calif.), pCDNA3 plasmids (Invitrogen), pREP plasmids (Invitrogen), or pMAL plasmids (New England Biolabs, Beverly, Mass.), and many appropriate host cells, using methods disclosed or cited herein or otherwise known to those skilled in the relevant art. Recombinant cloning vectors will often include one or more replication systems for cloning or expression, one or more markers for selection in the host, e.g., antibiotic resistance, and one or more expression cassettes.

**[0078]** Suitable vectors include viruses, such as adenoviruses, adeno-associated virus (AAV), vaccinia, herpesviruses, baculoviruses and retroviruses, parvovirus, lentivirus, bacteriophages, cosmids, plasmids, fungal vectors, naked DNA, DNA lipid complexes, and other recombination vehicles typically used in the art which have been described for expression in a variety of eukaryotic and prokaryotic hosts, and may be used for gene therapy as well as for simple protein expression.

**[0079]** Lentiviral vectors have been reported to deliver genes to primary liver cells efficiently and permanently, integrating into the genome of non-dividing cells such as primary liver cells (Lewis and Emerman "Passage through mitosis is required for oncoretroviruses but not the immunodeficiency virus" J. Virol. 1994, 68:510-6). Lentiviral vectors are

described in, for example, Choi et al (2001, Stem Cells 2001; 19(3):236-46) or in U.S. Pat. No. 6,218,186.

[0080] Viral vectors, especially adenoviral vectors can be complexed with a cationic amphiphile, such as a cationic lipid, polyL-lysine (PLL), and diethylaminoethyldextran (DELAE-dextran), which provide increased efficiency of viral infection of target cells (See, e.g., PCT/US97/21496 filed Nov. 20, 1997, incorporated herein by reference). AAV vectors, such as those disclosed in Zhong et al., J. Genet Syndr Gene Therapy 2012 Jan. 10; S1. pii: 008, U.S. Pat. Nos. 5,139,941, 5,252,479 and 5,753,500 and PCT publication WO 97/09441, the disclosures of which are incorporated herein, are also useful since these vectors integrate into host chromosomes, with a minimal need for repeat administration of vector. For a review of viral vectors in gene therapy, see McConnell et al., 2004, Hum Gene Ther. 15(11):1022-33; Mccarty et al., 2004, Annu Rev Genet. 38:819-45; Mah et al., 2002, Clin. Pharmacokinet. 41(12):901-11; Scott et al., 2002, Neuromuscul. Disord. 12(Suppl 1):S23-9.

#### Pharmaceutical Compositions

[0081] The present invention further provides pharmaceutical compositions which comprise an HNF4 $\alpha$  agonist, e.g., all or portions of HNF4 $\alpha$  polynucleotide sequences, HNF4 $\alpha$ polypeptides or functional fragments thereof, small molecule, or other HNF4 $\alpha$  agonists, alone or in combination with at least one other agent, such as a stabilizing compound, and may be administered in any sterile, biocompatible pharmaceutical carrier, including, but not limited to, saline, buffered saline, dextrose, and water. The composition may be in a liquid or lyophilized form and comprises a diluent (Tris, citrate, acetate or phosphate buffers) having various pH values and ionic strengths, solubilizer such as Tween or Polysorbate, carriers such as human serum albumin or gelatin, preservatives such as thimerosal, parabens, benzylalconium chloride or benzyl alcohol, antioxidants such as ascrobic acid or sodium metabisulfite, and other components such as lysine or glycine. Selection of a particular composition will depend upon a number of factors, including the condition being treated, the route of administration and the pharmacokinetic parameters desired. A more extensive survey of components suitable for pharmaceutical compositions is found in Remington's Pharmaceutical Sciences, 18th ed. A. R. Gennaro, ed. Mack, Easton, Pa. (1980).

[0082] The methods of the present invention find use in treating hepatic failure or cirrhosis. Peptides can be administered to the patient intravenously in a pharmaceutically acceptable carrier such as physiological saline. Standard methods for intracellular delivery of peptides can be used (e.g., delivery via liposome). Such methods are well known to those of ordinary skill in the art. The formulations of this invention are useful for parenteral administration, such as intravenous, subcutaneous, intramuscular, and intraperitoneal. Therapeutic administration of a polypeptide intracellularly can also be accomplished using gene therapy. The route of administration eventually chosen will depend upon a number of factors and may be ascertained by one skilled in the art. [0083] In other embodiments, the pharmaceutical compositions of the present invention can be formulated using pharmaceutically acceptable carriers well known in the art in dosages suitable for oral administration. Such carriers enable the pharmaceutical compositions to be formulated as tablets, pills, capsules, liquids, gels, syrups, slurries, suspensions and the like, for oral or nasal ingestion by a patient to be treated.

**[0084]** Pharmaceutical compositions suitable for use in the present invention include compositions wherein the active ingredients are contained in an effective amount to achieve the intended purpose. For example, a therapeutically effective amount of an HNF4 $\alpha$  agonist is that amount that increases expression of liver-specific genes, increases CYP activity, increases liver function, improves liver histology (e.g., fibrosis), treats or prevents cirrhosis, or prolongs survival in a subject suffering from liver failure. The amount will vary from one individual to another and will depend upon a number of factors, including the overall physical condition of the patient, severity and the underlying cause of liver failure and/or cirrhosis.

[0085] A target liver function can be determined by a liver function test, which typically measures, for example, albumin, total bilirubin, direct bilirubin, and/or INR Prothombin Time. The clinical signs of protein dysfunction, manifested by hepatic encephalopathy (which can be measured by an elevated arterial ammonia level), and muscle wasting are manifestations of hepatocyte failure, which can be made worse by portal hypertension. Therefore, improvement in liver function can also be assessed by the presence or absence of fibrosis in the liver, ascites, muscle wasting, ammonia levels, neurologic function (hepatic encephalopathy), etc. in the subject. Liver biopsy, such as a needle biopsy, can be used to assess the degree of fibrosis and cirrhosis of the liver. It is understood that such targets will vary from one individual to another such that physician discretion may be appropriate in determining an actual target liver function for any given patient. Nonetheless, determining a target liver function is well within the level of skill in the art.

**[0086]** The formulations of the invention can be administered for prophylactic and/or therapeutic treatments. For example, in alternative embodiments, pharmaceutical compositions of the invention are administered in an amount sufficient to treat, prevent and/or ameliorate liver failure and/ or cirrhosis. As is well known in the medical arts, dosages for any one patient depends upon many factors, including stage of the disease or condition, the severity of the disease or condition, the patient's size, body surface area, age, the particular compound to be administered, sex, time and route of administration, general health, and interaction with other drugs being concurrently administered.

[0087] Accordingly, in some embodiments of the present invention, HNF4 $\alpha$  nucleotide and HNF4 $\alpha$  amino acid sequences or other HNF4 $\alpha$  agonists can be administered to a patient alone, or in combination with one or more other nucleotide sequences, drugs, lifestyle changes, etc. used in the treatment or prevention of liver failure and/or cirrhosis or symptoms thereof (for example, interferon therapy, diuretic drugs, transjugular intrahepatic portosystemic shunt (TIPS), paracentesis, antibiotics, drugs for the prevention of variceal bleeding, lactulose, changes to diet, and/or abstinence from alcohol) or in pharmaceutical compositions where it is mixed with excipient(s) or other pharmaceutically acceptable carriers. In one embodiment, the HNF4 $\alpha$  agonist is administered in a subject as a bridge to liver transplantation.

**[0088]** In one embodiment of the present invention, the pharmaceutically acceptable carrier is pharmaceutically inert. In another embodiment of the present invention, HNF4 $\alpha$  polynucleotide sequences or HNF4 $\alpha$  amino acid sequences or other HNF4 $\alpha$  agonists may be administered alone to individuals subject to or suffering from liver failure and/or cirrhosis. The dosage regimen also takes into consid-

eration pharmacokinetics parameters well known in the art, i.e., the active agents' rate of absorption, bioavailability, metabolism, clearance, and the like (see, e.g., Hidalgo-Aragones (1996) J. Steroid Biochem. Mol. Biol. 58:611-617; Groning (1996) Pharmazie 51:337-341; Fotherby (1996) Contraception 54:59-69; Johnson (1995) J. Pharm. Sci. 84:1144-1146; Rohatagi (1995) Pharmazie 50:610-613; Brophy (1983) Eur. J. Clin. Pharmacol. 24:103-108; the latest Remington's, supra). The state of the art allows the clinician to determine the dosage regimen for each individual patient, active agent and disease or condition treated. Guidelines provided for similar compositions used as pharmaceuticals can be used as guidance to determine the dosage regiment, i.e., dose schedule and dosage levels, administered practicing the methods of the invention are correct and appropriate.

[0089] Single or multiple administrations of formulations can be given depending on the dosage and frequency as required and tolerated by the patient. The formulations should provide a sufficient quantity of active agent to effectively treat, prevent or ameliorate or liver failure and/or cirrhosis or symptoms thereof as described herein. For example, an exemplary pharmaceutical formulation for oral administration can be in a daily amount of between about 0.1 to 0.5 to about 20, 50, 100 or 1000 or more µg per kilogram of body weight per day of protein. In an alternative embodiment, dosages are from about 1 mg to about 4 mg per kg of body weight per patient per day of protein are used. For example, in one embodiment a therapeutically effective amount of a polypeptide of this invention is a dosage of between about 0.025 to 0.5 milligram per 1 kilogram of body weight of the patient; or, a therapeutically effective amount is a dosage of between about 0.025 to 0.2 milligram, or 0.05 to 0.1 milligram, or 0.075 to 0.5 milligram, or 0.2 to 0.4 milligram, of the compound per 1 kilogram of body weight of the patient. In another embodiment, a single dose is sufficient to achieve the desired results.

**[0090]** In one embodiment, the HNF4 $\alpha$  agonists of the invention are administered once, twice, or three times per week for all indications except the surgery indication, by intravenous (IV) or subcutaneous (SC) injection to reach a suggested target liver function range. Once the target liver function has been achieved, a maintenance dosing schedule is established which will vary depending upon the patient.

**[0091]** Toxicity and therapeutic efficacy of such compounds can be determined by standard pharmaceutical procedures in cell cultures or experimental animals (LD50, the dose lethal to 50% of the population; and ED50, the dose therapeutically effective in 50% of the population). The dose ratio between toxic and therapeutic effects is the therapeutic index, and it can be expressed as the ratio LD50/ED50. Compounds that exhibit large therapeutic indices are preferred. The data obtained from these cell culture assays and additional animal studies can be used in formulating a range of dosage for human use. The dosage of such compounds lies preferably within a range of circulating concentrations that include the ED50 with little or no toxicity. The dosage varies within this range depending upon the dosage form employed, sensitivity of the patient, and the route of administration.

**[0092]** The following Example is offered to more fully illustrate the invention, but is not to be construed as limiting the scope thereof.

#### Example 1

#### Upregulation of HNF4 $\alpha$ to Treat Hepatic Failure

**[0093]** This Example illustrates that end-stage cirrhotic hepatocytes, previously considered to be senescent and irreversibly dysfunctional, can revert to normal function following transduction with nucleic acid encoding the transcription factor HNF4 $\alpha$ , even though surrounded by an abnormal extracellular matrix. As described herein, HNF4 $\alpha$  reexpression immediately corrected the phenotype of cultured cirrhotic hepatocytes and reversed terminal end-stage cirrhosis and liver failure in vivo.

**[0094]** More than a decade ago, the inventors developed a unique model in rats, using chronic administration of  $CCl_4$ , to produce a syndrome of cirrhosis and progressive liver failure that greatly resembles human disease (11). While the latter has different etiologies that include HBV, HCV, alcohol, or NASH/metabolic syndrome, the  $CCl_4$ -injured rat reproduces the most important feature of advanced cirrhosis, the irreversibly decompensated hepatocyte.

**[0095]** Previously, the inventors analyzed the transcriptome of hepatocytes recovered from advanced cirrhotic livers (http://www.ncbi.nlm.nih.gov/geo/query/acc.

cgi?acc=GSE22977; Liu L, Yannam G R, Nishikawa T, Yamamoto T et al. Hepatology 2012 May; 55(5):1529-39, the contents of which are expressly incorporated herein by reference) and also transplanted them. Microarrays showed marked decreases in the expression of HNF4 $\alpha$ , Foxa2, C/EBP $\alpha$ , and HNF1 $\alpha$ , molecules that are part of the network of hepatocyte-enriched transcription factors, sequentially established during development, that regulate the mature hepatocyte phenotype, controlling expression of proteins of coagulation, biliary metabolism, and lipid metabolism (12, 13). Transplantation of hepatocytes from end-stage cirrhotic rats into non-cirrhotic host livers eventually restored their regenerative capacity (14), but the delay in restoration suggested that the process was not the simple expansion of engrafted cells that occurs with normal regeneration.

**[0096]** Since deficient transcription factors could explain hepatocyte impairment, the inventors investigated the therapeutic effects of forced re-expression. HNF4 $\alpha$  was chosen for this therapy because it is the central regulator of the hepatocyte transcription factor network, has no other hepatocyte homolog, and showed the greatest reduction in the decompensated hepatocyte (FIG. 1A).

**[0097]** As described herein, cirrhosis was induced in Lewis rats by treatment with phenobarbital and carbon tetrachloride (CCl₄). Animals treated for 14 weeks had normal liver function (compensated cirrhosis), whereas 26-28 weeks of CCl₄ produced decompensated liver function with elevated bilirubin, decreased albumin, prolonged prothrombin time, and hepatic encephalopathy scores of  $8\pm0.7$  vs. a normal score of 15 (11). All animals were assessed for liver function 4 weeks after receiving their last dose of CCl₄ to guarantee that alterations in liver function were not the consequence of the acute effects of CCl₄ administration.

**[0098]** To confirm the inventors' previous microarray studies (Liu L, Yannam G R, Nishikawa T, Yamamoto T et al. Hepatology 2012 May; 55(5):1529-39), a detailed analysis of the expression of HNF4 $\alpha$  and its target genes in isolated hepatocytes and liver tissue was performed. qRT-PCR analysis confirmed severe downregulation of HNF4 $\alpha$  expression, and quantification of HNF4 $\alpha$  in hepatocytes by Western blot and by immunofluorescent staining of cytospin samples gave similar results (FIG. 1B-D). Immunohistochemical localization of HNF4 $\alpha$  showed expression in nuclei of hepatocytes but not in bile duct or other non-parenchymal cells. Nuclear HNF4 $\alpha$  was present in the majority of hepatocytes from animals with compensated cirrhosis but was severely diminished in hepatocytes in the nodular livers of decompensated cirrhosis, where it selectively localized to the periportal region. Down-regulation of HNF4 $\alpha$  expression has also been reported in human cirrhosis (15, 16). Thus, a significant decrease of HNF4 $\alpha$  in hepatocytes correlates with decompensation in cirrhosis. As HNF4a affects the expression of many liver-specific target genes involved in glucose, lipid, amino acid, xenobiotic, and drug metabolism (17), the expression of  $\alpha$ 1-antitrypsin; apolipoproteins A2, C3, and E; cytochrome P450 3a23, coagulation factor VII, hepatocyte nuclear factor  $1\alpha$ , ornithine transcarbamylase, tyrosine aminotransferase, tryptophan 2,3-dioxygenase, transferrin, and transthyretin was evaluated (FIG. 1E). All of these genes were severely down-regulated in advanced cirrhosis in parallel to HNF4 $\alpha$ .

**[0099]** To assess whether forced reexpression of HNF4 $\alpha$  could affect the function of cirrhotic hepatocytes, in vitro culture system was first used. Hepatocytes, isolated from animals with cirrhosis and decompensated liver function, were transduced with adeno-associated virus (AAV) vectors to express HNF4 $\alpha$  and GFP or GFP alone. At 48 hours, qRT-PCR analysis showed HNF4 $\alpha$  reexpression restored to nearly normal levels the hepatic transcription factors C/EBP $\alpha$ , HNF1 $\alpha$ , and PPAR $\alpha$ , and the phenotypic targets genes important for liver-specific activity (FIG. **2**A). HNF4 $\alpha$  expression also improved secretion of albumin into the culture supernatant—severely impaired in hepatocytes isolated from decompensated cirrhosis (14)—and activity of Cytochrome P450 3A4, a major enzyme of xenobiotic metabolism (FIG. **2**B).

[0100] In previous studies using this model of decompensated liver failure from cirrhosis, it was shown by the inventors that after withdrawal of CCl₄ and a four-week observation period, 100% of untreated animals die of progressive hepatic failure in 2 to 3 weeks. Intrasplenic hepatocyte transplantation dramatically improved function and survival, but was only effective for a period of weeks to months (11,18) despite transplantation with syngeneic hepatocytes. The therapeutic benefit was moderate, since the end-stage cirrhotic rats still died of progressive hepatic failure and persistent severe cirrhosis. With this background, animals with liver failure and cirrhosis were transduced to re-express HNF4 $\alpha$  in their hepatocytes by intravenous infusion of  $3 \times 10^{11}$  AAV-HNF4 $\alpha$ -GFP genomes. Animals sacrificed 2 weeks after infusion demonstrated high transduction efficiency uniformly distributed in most hepatocytes. Moreover, the impaired albumin expression of decompensated cirrhosis was dramatically improved and its expression increased until the time of sacrifice at 100 days following the observation period (FIG. 3A). Administration of the AAV-GFP control vector did not affect liver function. Co-staining for GFP, albumin, a-smooth muscle, and EpCam indicated transduction of approximately 60-80% of hepatocytes, but not non-parenchymal cells (FIG. 3B). Pathophysiologic testing showed striking and persistent improvement in liver function, ascites, activity, and neurologic function, and survival was prolonged to the end-point of the study at 100 days post observation after CCl₄ withdrawal (FIG. 3C).

**[0101]** Functional analysis of cells isolated from treated animals showed significant improvement of albumin secretion and CYP3A4 activity (FIG. 4A). In addition, there was improvement in expression levels of HNF4 $\alpha$  target genes (FIG. 4B) and decreased expression of the hepatic progenitor cell markers AFP, CD44, and EpCAM (FIG. 5). The healing effects of HNF4 $\alpha$  reexpression did not depend on proliferation, since there was no increase apparent in Ki67 staining (FIG. 4C). HNF4 $\alpha$  did not significantly augment TERT expression and telomere length in the cirrhotic hepatocytes remained critically short (FIGS. 40). Thus, HNF4 $\alpha$  acted by phenotypically correcting diseased hepatoctyes, not by stimulating their replacement.

[0102] The corrected hepatocytes had a striking extrinsic effect on the extracellular matrix, because the fibrotic remodeling of the liver, the hallmark of cirrhosis, was also corrected. Histologic studies showed improvement in fat deposition and fibrosis as early as 2 weeks following treatment with AAV-HNF4 $\alpha$ -GFP and significant further improvement at 100 days (FIG. 3, FIG. 6A), results that are in sharp contrast to the inventors' previous experience with hepatoctye transplantation (11, 18). Expression of TIMP1-3, natural inhibitors of metalloproteinases that degrade the extracellular matrix, and TGF $\beta$ , a hepatocyte-secreted cytokine that stimulates fibrogenesis was also investigated. TIMP2 and TIMP3 expression was immediately down-regulated in vitro following HNF4 $\alpha$ re-expression, while TGF\beta and TIMPs 1, 2, and 3 were downregulated within 2 weeks of HNF4a transduction in cirrhotic rats (FIG. 6B).

[0103] These studies show that down-regulation of HNF4 $\alpha$ has a profound effect on the end-stage cirrhotic hepatocyte in vitro, since replenishment of this single factor immediately revitalizes function. Moreover, transduction of hepatocytes in cirrhotic animals with apparently irreversible decompensated function produced a profound and immediate improvement in hepatic function. Normalization of function took place in two weeks while portal hypertension, evidenced by the presence of ascites, regressed when histological reversal of cirrhosis was more complete. This outcome is consistent with the central role of HNF4 $\alpha$  within the hepatocyte transcription factor network (12, 20, 21). Impaired expression of HNF4 $\alpha$  could reflect direct regulation or inhibition of another network factor that activates HNF4 $\alpha$  transcription (22-24) and could result from either cell-extrinsic or cell-intrinsic mechanisms. Toxins, chemical injury, and cytokines generated from inflammation or injured cells can all induce inhibition of critical transcription factors (25). Such extrinsic mechanisms should be corrected immediately by removing the injury in vivo, or by culturing the hepatocytes in vitro. However, neither withdrawing CCl₄ nor primary cell culture effectively reversed the hepatocyte dysfunction. Since down regulation of network factors HNF1a, FOXA2, CEBPa, and PPARa was also clear in these studies, it is likely that HNF4 $\alpha$ , or another network gene, is the critical target of an inhibitory pathway. These data indicate the target is HNF4 $\alpha$ , since its reexpression restores the other three factors. Research on hepatocyte injury has highlighted three candidate pathways that could mediate this inhibition— $TNF\alpha$ - $NF\kappa$ B, IL6-Stat3, and TGFβ-SMAD—and the inventors' preliminary research showed NF $\kappa$ B and TGF $\beta$  signatures by microarray and direct transcript analysis (26). Thus, it is likely that cytokine/injury effects alter expression of the hepatocyte transcription factor network by extrinsic mechanisms, with the result that network factors establish a new steady-state equilibrium in the dysfunctional hepatocyte that can no longer compensate to restore normal gene expression. This has important therapeutic implications, because it may require only transient therapy with HNF4 $\alpha$  to restore the transcription factor network once the injury has been moderated.

**[0104]** Further studies (see Example 3 below) will determine the efficacy of this intervention when there may be ongoing hepatocellular insults, as is the case with clinical cirrhosis, typically associated with hepatitis or alcohol abuse. **[0105]** These studies indicate that in addition to regeneration mediated by expansion of mature hepatocytes or differentiation and expansion of induced progenitors, normalized function can be accomplished by transcriptional reprograming with reversal of de-differentiation but not senescence. The results also indicate that HNF4 $\alpha$  therapy could be effective in treating advanced liver cirrhosis with impaired hepatic function as a bridge to organ transplantation or possibly even as destination therapy.

#### Material and Methods

**[0106]** Animals: Lewis rats were obtained from Charles River Laboratory (Cambridge, Mass.). and were maintained in isolation cages in the Department of Laboratory and Animal Resources at the University of Pittsburgh. Animals were housed in temperature- and light-dark cycle-controlled rooms. All procedures performed on animals were approved by the University of Pittsburgh Animal Care and Use Committees, and thus within the guidelines for humane care of laboratory animals.

[0107] Induction of liver cirrhosis: Liver cirrhosis was induced as described beginning in four-week-old inbred male Lewis rats, weighing 100 to 130 g, using Phenobarbital (Sigma Chem. Co. St. Louis, Mo.) and carbon tetrachloride (CCl₄, Sigma) (27). Rats were given Phenobarbital (0.5 g/L) added to the drinking water. Starting two weeks later, CCl₄ (diluted 1:9 in the olive oil) was administered by gavage on a full stomach twice a week, Following an initial dose of 0.2 ml/kg each subsequent dose was adjusted weekly on the basis of changes in body weight. If the body weight increased or remained unchanged CCl₄ was continued at 0.2 ml/kg twice weekly. When body weight decreased by 1-5 g the dose of CCl₄ was reduced to 0.15 ml/kg, and if body weight decreased by 6-10 g the CCl₄ was reduced to 0.1 ml/kg. In rats that lost more than 10 g of body weight, CCl₄ was withheld until reassessment one week later. All animals were monitored by body weight, activity, amount of ascites, and by hepatic encephalopathy (HE) score, which constituted a coma scale based on spontaneous levels of flexion, grasping, righting, placement, corneal, and head-shaking reflexes. Normal activity score was 5; maximum ascites score was 3; and a HE score of 15 indicated normal behavior (28). Whole blood was obtained at different time points and analyzed for bilirubin and albumin using a microfluidic metabolic assay system (Picollo-Abaxis, Union City, Calif.). Ammonia (NH3) and INR in serum were measured in the clinical laboratory at the Children's Hospital of Pittsburgh.

**[0108]** When rats received a minimum of 2.6 ml CCl₄ and developed persistent ascites, an activity score of <4 and an HE score of <10, laboratory tests were performed weekly to estimate liver function. Phenobarbital and CCl₄ were discontinued when (1) plasma total bilirubin levels exceeded 0.5 mg/dl (normal <0.2 mg/dl); (2) the INR exceeded 1.7; (3) plasma ammonia concentrations were above 90 mmol/l (normal <70 mmol/1); (4) ascites was found to be persistent by

clinical examination, and (5) the hepatic encephalopathy (HE) score was persistently <10. If four weeks after complete cessation of phenobarbital and carbon tetrachloride treatment, the laboratory measures, encephalopathy score and ascites did not improve, rats were considered to have chronic liver failure from decompensated cirrhosis. All animals receiving  $CCl_4$  were observed for four weeks after receiving their last dose of  $CCl_4$  to eliminate the acute effects of toxin exposure before hepatocytes were recovered for analysis and transplantation.

**[0109]** Animals required  $2.8\pm0.2$  mL CCl₄ over 26 to 28 weeks to generate cirrhosis that produced irreversible liver failure, and these animals died approximately 2-4 weeks after the four-week observation period with progressive worsening of liver function if they received no treatment. Animals with cirrhosis without liver failure received 13 to 14 weeks of CCl₄, and a total dose of  $1.3\pm0.1$  mL of CCl₄. Laboratory tests and ascites resolved quickly in all of these animals after the four week observation period after discontinuing carbon tetrachloride.

**[0110]** Isolation of hepatocytes: Hepatocytes were isolated from donor rats by in situ collagenase perfusion as originally described by Berry and Friend and later modified by Seglen (29, 30). Briefly, a 20 G cannula (Becton, Dickinson Infusion Therapy Systems Inc., Sandy, Utah) was inserted into the portal vein. Perfusion using 0.5 mM EGTA in Leffert's buffer was started via the portal vein for 10 minutes, and the inferior vena cava (IVC) was cut for drainage. The liver was then perfused with collagenase (Liberase, Roche, Germany) at 21 units/200 mL in Leffert's solution for 10 minutes. The perfusion time varied from 10 to 20 minutes based on the consistency of liver tissue in response to collagenase digestion. After perfusion, the liver was excised and chopped into small millimeter sized pieces using a scalpel in a 10 cm sterile tissue culture dish. Cells were then collected in Leffert's solution

containing 2.5 mM CaCl₂ and filtered through a 200 micron nylon mesh to remove aggregated cells and residual tissue, centrifuged at 50 g for 3 minutes, and washed three times with chilled Dulbecco's Modified Eagle's Medium (DMEM). Viability was assessed by Trypan blue exclusion and by plating efficiency at 24 hours. Cell viability, as determined by trypan blue exclusion and plating efficiency, was required to be 80% or greater to be acceptable for in vitro analysis. For cytospin samples,  $5 \times 10^4$  hepatocytes were centrifuged at 50 g for 5 minutes for attachment to slides. After air-drying, the cells were fixed with 4% paraformaldehyde for 15 minutes and preserved at  $-80^{\circ}$  C.

**[0111]** Hepatocyte culture: Five hundred thousand hepatocytes isolated from control and cirrhotic livers were seeded into individual wells of collagen-coated 6-well plates (Becton Dickinson Labware, NJ) and cultured at  $37^{\circ}$  in 5% CO₂ in F-12 medium (DMEM supplemented with 5% FBS, 2 mM Glutamine, 100 U/mL Penicillin, 100 ug/mL Streptomycin, 100 nM dexamethasone, 0.872 uM insulin and 5 ng/mL epithelial growth factor). Tissue culture medium was changed after overnight culture following the isolation and freshly exchanged everyday. The supernatant was collected 24 hours later to determine albumin secretion.

**[0112]** Total RNA extraction and quantitative real-time PCR (QPCR): RNA was extracted from isolated rat hepatocytes or liver tissue using the RNeasy Mini Kit (QIAGEN, Valencia Calif.) according to the manufacturer's instructions. RNA quantity and integrity were evaluated using a NANO DROP 1000 spectrometer (Thermo Fisher Scientific Inc). cDNA was reverse transcribed from 1 ug total RNA using SuperScript III reverse transcriptase (Invitrogen). Each gene expression was measured using Power SYBR Green PCR Master Mix (Applied Biosystems, Foster, Calif., USA), using a ABI 7500 real time PCR System. The sequences of the primers used for this Example are listed in Table 1.

TABLE	1
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	Primer sequences	
Primer Name	Primer sequence	Length of PCR product
HNF4a-F	5'-ATGGACATGGCTGACTACAGTGCT-3'(SEQ ID NO: 13)	204 bp
HNF4a-R	5'-ACAGCTTGAGGCTCCGTAGTGTTT-3'(SEQ ID NO: 14)	
A1AT-F	5'-TCTAGAGGGCCTGGAGTTCA-3' (SEQ ID NO: 15)	99 bp
A1AT-R	5'-TCACTGTCTGGCCTCTTGAG-3' (SEQ ID NO: 16)	
ACTA2 - F	5'-TTCAATGTCCCTGCCATGTA-3' (SEQ ID NO: 17)	94 bp
ACTA2 - R	5'-CATCTCCAGAGTCCACCACA-3' (SEQ ID NO: 18)	
ACTB-F	5'-TTGCTGACAGGATGCAGAAG-3' (SEQ ID NO: 19)	122 bp
ACTB-R	5'-CAGTGAGGCCAGGATAGAGC-3' (SEQ ID NO: 20)	
AFP-F	5'-GCCCAGCATACGAAGAAAACA-3' (SEQ ID NO: 21)	176 bp
AFP-R	5'-TCTCTTTGTCTGGAAGCATTCCT-3' (SEQ ID NO: 22)	
ALB-F	5'-TCTGCACACTCCCAGACAAG-3' (SEQ ID NO: 23)	114 bp
ALB-R	5'-AGTCACCCATCACCGTCTTC-3' (SEQ ID NO: 24)	
ApoA2-F	5'-GGCAAGGATTTGATGGAGAA-3' (SEQ ID NO: 25)	108 bp

TABLE	1-continued

TABLE 1-continued Primer sequences				
ApoA2-R	5 ' - CCCAGTTCTCTGGACAAAGG-3 '	(SEQ ID NO: 26)		
ApoC3-F	5 ' - ACATGGAACAAGCCTCCAAG - 3 '	(SEQ ID NO: 27)	75 bp	
ApoC3-R	5 ' - TGGCCACCACAGCTATATCA - 3 '	(SEQ ID NO: 28)		
ApoE-F	5 ' - TGAACCGCTTCTGGGATTAC - 3 '	(SEQ ID NO: 29)	85 bp	
ApoE-R	5 ' - TGTGTGACTTGGGAGCTCTG - 3 '	(SEQ ID NO: 30)		
ASGR1-F	5 ' - GGAGGATCTGAGGGAAGACC - 3 '	(SEQ ID NO: 31)	125 bp	
ASGR1-R	5 ' - GGCAGCAGATCCTTTCAGAG - 3 '	(SEQ ID NO: 32)		
CD44-F	5 ' - TTTGGTGGCACACAGCTTG- 3 '	(SEQ ID NO: 33)	104 bp	
CD44-R	5-ATGGAATACACCTGCGTAACGG-3 '	(SEQ ID NO: 34)		
Cdh1-F	5 ' - GAAGGCCTAAGCACAACAGC - 3 '	(SEQ ID NO: 35)	99 bp	
Cdh1-R	5 ' - AAGCACTTGACCCTGGTACG - 3 '	(SEQ ID NO: 36)		
C/EBPa-F	5 ' -GCCAAGAAGTCGGTGGATAA-3 '	(SEQ ID NO: 37)	125 bp	
C/EBPa-R	5 ' - AACACCTTCTGCTGCGTCTC - 3 '	(SEQ ID NO: 38)		
CK18-F	5'-GCTCAGATCTTTGCGAATTC-3'	(SEQ ID NO: 39)	204 bp	
CK18-R	5 ' - CGCTTCGATTTCTGTCTCC - 3 '	(SEQ ID NO: 40)		
COL1a1-F	5 ' - TGGTCCTCAAGGTTTCCAAG - 3 '	(SEQ ID NO: 41)	123 bp	
COL1a1-R	5'-TTACCAGCTTCCCCATCATC-3'	(SEQ ID NO: 42)		
Ctnnb1-F	5 ' - TGCAGAAAATGGTTGCTTTG - 3 '	(SEQ ID NO: 43)	98 bp	
Ctnnb1-R	5 ' -GCTTTCCTGATTGCCGTAAG-3 '	(SEQ ID NO: 44)		
CYP3a23/3a1	- F 5 ' - ATGGAGATCACAGCCCAGTC - 3 '	(SEQ ID NO: 45)	130 bp	
CYP3a23/3a1	-R5'-CGATCTCCTCCTGCAGTTTC-3'	(SEQ ID NO: 46)		
EpCAM-F	5 ' - TGAGAATGGTGAATGCCAGT - 3 '	(SEQ ID NO: 47)	101 bp	
EpCAM-R	5'-GAGTCATCTCCGCCTTCATC-3'	(SEQ ID NO: 48)		
F7-F	5'-TAACCCAGGAGGAAGCACAC-3'	(SEQ ID NO: 49)	102 bp	
F7-R	5'-CTTCATTGCACTCCCTCTCC-3'	(SEQ ID NO: 50)		
Foxa2-F	5'-CCATCCGTCATTCTCTCTCC-3'	(SEQ ID NO: 51)	112 bp	
Foxa2-R	5 ' - TCGAACATGTTGCCAGAGTC - 3 '	(SEQ ID NO: 52)		
HNF1a-F	5 ' - GACGTCTCCAGGTCTCAACC - 3 '	(SEQ ID NO: 53)	119 bp	
HNF1a-R	5 ' - CACCCGTGTTAGTGAACGTG-3 '	(SEQ ID NO: 54)		
OTC-F	5 ' - CTCACCCTCAGCTGGATAGG-3 '	(SEQ ID NO: 55)	101 bp	
OTC-R	5 ' - CCCTTTGGAGTAGCTGCTTG - 3 '	(SEQ ID NO: 56)		
Ppara-F	5 ' -AATGCAATCCGTTTTGGAAG-3 '	(SEQ ID NO: 57)	116 bp	
Ppara-R	5 ' - GCCAGAGATTTGAGGTCTGC - 3 '	(SEQ ID NO: 58)		
TAT-F	5 ' - CATCGTGGACAACATGAAGG-3 '	(SEQ ID NO: 59)	101 bp	
TAT-R	5 ' - CAGGGTCTGTAGGCAGGTTC - 3 '	(SEQ ID NO: 60)		
TDO2 - F	5 ' - TTGAAGGGTCTGGAAGAGGA - 3 '	(SEQ ID NO: 61)	119 bp	

Primer sequences				
Primer Name	Primer sequence		Length of PCR product	
TDO2 - R	5'-TCATCGAACAAGCAGAGCAG-3'	(SEQ ID NO: 62)		
TERT-F	5'-GCATCTGACCCGAGTCTCTC-3'	(SEQ ID NO: 63)	105 bp	
TERT-R	5 ' - GAATGGCCTGAGCTTTTCAG - 3 '	(SEQ ID NO: 64)		
TF-F	5 ' - AATGGAGATGGCAAAGAGGA - 3 '	(SEQ ID NO: 65)	100 bp	
TF-R	5'-GAGAGCCGAACAGTTGGAAG-3'	(SEQ ID NO: 66)		
TGFb1-F	5'-GGACTCTCCACCTGCAAGAC-3'	(SEQ ID NO: 67)	100 bp	
TGFb1-R	5'-GACTGGCGAGCCTTAGTTTG-3'	(SEQ ID NO: 68)		
TIMP1-F	5'-GGTTCCCTGGCATAATCTGA-3'	(SEQ ID NO: 69)	99 bp	
TIMP1-R	5'-ATGGCTGAACAGGGAAACAC-3'	(SEQ ID NO: 70)		
TIMP2-F	5 ' - TGGACGTTGGAGGAAAGAAG-3 '	(SEQ ID NO: 71)	97 bp	
TIMP2-R	5'-TCCCAGGGCACAATAAAGTC-3'	(SEQ ID NO: 72)		
TIMP3-F	5 ' - TGTGCAACTTTGTGGAGAGG-3 '	(SEQ ID NO: 73)	84 bp	
TIMP3-R	5 ' - AATTGCAACCCAGGTGGTAG - 3 '	(SEQ ID NO: 74)		
TTR-F	5 ' - TCGTACTGGAAGGCTCTTGG - 3 '	(SEQ ID NO: 75)	120 bp	
TTR-R	5 ' - GTAGGAGTACGGGCTGAGCA - 3 '	(SEQ ID NO: 76)		

TABLE 1-continued

 $\star$  These primers were used for quantitative RT-PCR

**[0113]** The PCR reaction was programmed as follows: initial denaturing at  $95^{\circ}$  C. for 10 min followed by  $95^{\circ}$  C. for 15 sec,  $60^{\circ}$  C. for 1 min, cycled 40 times. The median cycle threshold value and the relative cycle threshold method were used for analysis. All cycle threshold values were normalized to the expression of the housekeeping gene ACTB. All reactions were performed with four biological replicates and three technical replicates with reference dye normalization. All values were normalized to control normal hepatocytes. A p value of less than 0.05 was used for the significance cutoff point for all genes tested. ANOVA (Tukey-Kramer multiple comparison test) was used for statistical comparison within experimental groups. Each value represents the mean±SD.

[0114] DNA extraction and telomere length measurement by QPCR: Genomic DNA was extracted from isolated rat hepatocytes using the DNeasy Blood & Tissue Kit (QIAGEN, Valencia Calif.) according to the manufacturer's instructions. The samples were used for examination of telomere length in QPCR using the modified Cawthon's method (31). Briefly, telomere and single copy gene (\beta-globin) PCRs were performed in separate 96-well plates using the same DNA sample. The telomere/single copy gene (T/S) ratio was calculated as the index of telomere length in each sample. Triplicate PCR reactions for each sample were performed with Power SYBR Green PCR Master Mix, DNA and primer pairs using the ABI 7500 real time PCR System. Primers for telomeres and  $\beta$ -globin were added to a final concentrations of 250 nM. The primer sequences were tel-1, 5'-GGTTTTTGAGGGTGAGGGTGAGGGT-

GAGGGTGAGGGT-3' (SEQ ID NO:77); tel-2, 5'-TC-

#### CCGACTATCCCTATCCCTATCCCTATC-

CCTATCCCTA-3 (SEQ ID NO:78)';  $\beta$ -globin-F, 5'-CAGCAAGTGGGAAGGTGTAATCC-3' (SEQ ID NO:79);  $\beta$ -globin-R, 5'-CCCATTCTATCATCAACGGGTA-CAA-3' (SEQ ID NO:80). PCR was performed at 95° C. for 10 min, followed by 40 cycles at 95° C. for 15 sec, and 54° C. for 2 min for the telomere reaction or 40 cycles at 95° C. for 15 sec, 60° C. for 60 sec for the  $\beta$ -globin reaction. Standard curves for both telomere length and the single copy gene were generated from five concentrations (42, 25.2, 15.1, 9.1, 5.4 ng/aliquot) of a reference DNA sample serially diluted 1.68 fold with PCR grade water.

[0115] Western blot analysis: Isolated hepatocyte fractions were lysed in RIPA buffer (Sigma Aldrich) with 1% proteinase inhibitor cocktail (Calbiochem) on ice. The supernatant was collected as cellular protein after centrifugation at 8000 g for 15 min. and the supernatant was preserved at -80° C. Forty micrograms of the cellular protein was electrophoresed in a 4-12% bis-tris gel and then transferred to a nitrocellulose membrane (Invitrogen). The immunological detection of HNF4 $\alpha$  and beta-actin was performed as previously described. Antibodies for HNF4 $\alpha$  and beta-actin were purchased from Abeam Inc. (Cambridge, Mass.) and Cell Signaling Technology, Inc. (Beverly, Mass.) respectively. After incubation with a horseradish peroxidase-conjugated secondary antibody for 1 h, immune detection of each band was performed with SuperSignal West Pico Chemiluminescent Substrate (PIERCE, Rockford, Ill.). The density of each band was measured using Image J software.

[0116] Histology: Five-micrometer-thick sections were prepared from paraffin embedded liver tissue fixed in 4% paraformaldehyde (PFA) and two slides each were used for hematoxylin and eosin (H & E) and Masson trichrome staining according to the manufacturer's protocols from Sigma. Oil red O staining was performed to detect cellular deposition of triglycerides using 5 micron sections from OTC compound embedded frozen liver tissues fixed in 2% PFA. Briefly, after fixation in 10% formaldehyde for 15 minutes at room temperature, sections were washed twice with deionized water for 1 minute, and then rinsed in 60% isopropanol for 1 minute. Subsequently, the sections were immersed in the oil red O working solution for 30 min at 37° C. After washing three times with deionized water, sections were counterstained using Gill's haematoxylin for 60 seconds to visualize nuclei. Sections were then rinsed with water for 10 min and mounted using crystal mount (Biomeda corp., Foster city, CA). Images were taken at low magnification (100×) using an Olympus Provis light microscope. To quantify detection of fibrotic areas in the Masson trichrome stained sections or fat deposition on oil red O stained sections, the signal intensity was measured over five different fields using Image J software and the average value was used as the semi-quantitative measurement of fibrosis or fat deposition within the liver.

[0117] Immunohistochemistry: Sections, five-microns in thickness, were heated in a microwave oven in citrate sodium buffer, pH6.0 (DAKO, CA) for 5-10 minutes at 95° C., and cooled at room temperature for 20 minutes. After blocking with PBS and 2% FCS for 15 minutes, samples were incubated with one or a combination of diluted primary antibodies (1:100) for 1 hour at room temperature. After washing three times in PBS, samples were incubated with diluted secondary antibodies conjugated with fluorochromogen (1:250) for 60 minutes at room temperature for immunofluorescence staining. Samples were then finally mounted in VECTASHIELD (Vector Laboratories, Inc., Burlingame, Calif.) containing DAPI solution. Immunohistochemistry was also performed using the same method described above, except for the blocking of endogenous peroxydase by incubation in methanol and 0.3% hydroxyperoxydase for 20 minutes. The VECTASTAIN ABC kit for mouse IgG (Vector Laboratories, Inc) was used to detect the immunocomplex signal and the samples were counterstained using Gill's haematoxylin for 60 seconds. The stained samples were examined using Olympus Provis light microscope. Mouse anti-HNF4a monoclonal antibody, rabbit anti-GFP polyclonal antibody, rabbit anti-Epcam polyclonal antibody, rabbit Ki67 polyclonal antibody, mouse anti-alpha smooth muscle actin monoclonal antibody were purchased from Abeam Inc., mouse anti-GFP monoclonal antibody from Cell Signaling Technology, Inc. and sheep anti-rat albumin polyclonal antibody from Bethyl Laboratories, Inc. (Montgomery, Tex.) as primary antibodies in this study. Alexa Fluor 488-conjugated goat anti-rabbit IgG and anti-mouse IgG antibodies and Alexa Fluor 594-conjugated goat anti-rabbit IgG, anti-mouse IgG and donkey antisheep IgG antibody were purchased from Invitrogen as secondary antibodies. For calculation of percent positive cells at least four low power digital images  $(100 \times)$  per sample were analyzed using an Olympus Provis light microscope using ImageJ software.

**[0118]** AAV cloning and virus preparation: Two AAV vectors were used for these studies; one capable of expressing GFP from an IRES promoter, pAAV-GFP, and the other capable of expressing HNF4 $\alpha$  under control of a CMV pro-

moter and GFP under control of the IRES promoter, pAAV-HNF4 $\alpha$ /GFP. Cells were transduced in vitro at an MOI of 2000, and the transduction efficiency in vitro was >90%.

[0119] A 1.5 kb EcoR1 fragment of rat-HNF4 $\alpha$  2 was cloned into the bicistronic plasmid pAAV-IRES-GFP (Cell Biolabs, Inc; San Diego, Calif.), which expresses HNF4 $\alpha$ under control of the CMV promoter and GFP under control of the IRES promoter. AAV vector preparation was performed using the helper-virus-free CaPO₄ triple transfection method. Briefly, HEK293 cells were grown in DMEM with 10% FCS to 75% confluence in 12 150 cm² flasks. For each flask, 15 ug of each plasmid [pAAV-CMV-HNF4a/IRES-GFP, pladeno5 (32), pAAV-DJ (33)] was added to 3 ml of 300 mM CaCl₂. This mixture was then added to 3 ml of 2X-HEPES-buffered saline (50 mM HEPES [N-2-hydroxyethylpiperazine-N'-2ethanesulfonic acid], 280 mM NaCl, 1.5 mM NaH₂PO₄, pH 7.1), vortexed and let stand for 5 minutes, then added to the flask and incubated at 37° C. for 4-6 hrs. Media was changed to DMEM with 2% FCS and cultured for 48-72 hrs. For harvest, EDTA was added to the flask to a final concentration of 10 mM and incubated for 3-5 min. Cells were dislodged by gentle shaking and cells and media were harvested and centrifuged at 100×g. The cell pellet was suspended in DMEM and subjected to 3 freeze-thaw cycles in dry-ice/ethanol and 37° C. baths. Cell debris was removed by centrifugation at 10,000×g for 10 min. Supernatant was collected and virus was purified and concentrated using the ViraBindTM AAV purification kit (Cell Biolabs, Inc; San Diego, Calif.) per manufacturer's instructions. Viral titer in genome copies (gc)/ ml was determined by dot blot of serial dilutions of virus using linearized pAAV-CMV-HNF4a/IRES-GFP as a standard and a HNF4 $\alpha$  cDNA fragment as probe.

**[0120]** Transduction to express HNF4 $\alpha$ : For in vitro studies, freshly isolated hepatocytes were plated at a density of 0.5 million cells per well in collagen-coated 6-well plates. After incubation in DMEM/F12 for 2 hours at 37° C. and 5% CO2, the culture medium was changed to one containing each AAV vector at an MOI of 2000. Cells were incubated for 24 hours at 37° C. and 5% CO2, and then washed twice with PBS. Two ml of fresh culture medium was added into each well, starting 24 hours after AAV transduction (Day 0), and repeated daily up to day 7. Supernatant samples were collected and preserved at  $-80^{\circ}$  C. After viral transduction, cell samples were also collected from each well on day 2 for extraction of genomic DNA, total RNA and whole cellular protein for analysis.

[0121] For AAV transduction in vivo,  $3.0 \times 10^{11}$  viral genomes of AAV-DJ-HNF4a-IRES-GFP (n=5) and AAVDJ-IRES-GFP (n=4) were injected into the tail vein. Five animals with decompensated cirrhosis were also monitored that received no AAV infection as a control group. All animals were monitored and scored for clinical changes and by laboratory tests every week until expiration or until the end of the observation period at 14 weeks after viral infection. Untreated animals and animals treated with the control AAV-GFP vector developed progressively worsening liver function and died with a mean survival of 19 days. Treated rats showed improvement in clinical parameters, INR, total bilirubin, serum albumin level, and ammonia. All were nearly at normal levels within 2 weeks of treatment, and sustained those levels for at least 100 days. One animal treated with AAVDJ-HNF4α-IRES-GFP was sacrificed 2 weeks after viral injection to estimate early transduction efficiency. Survival assessment was statistically performed by log-rank test among three 16

groups (*p<0.05). Value represent mean $\pm$ SD. Specimens were collected following euthanasia and samples were preserved unfixed at -80° C., embedded in paraffin after 4% PFA fixation, and embedded in OTC compound after 2% PFA fixation. Vector transduction efficiency was estimated by immune detection for GFP.

[0122] Albumin measurement: Albumin levels from hepatocyte culture supernatants were measured by ELISA according to manufacture's instructions (Bethyl Laboratories, TX). Five hundred thousand freshly isolated viable hepatocytes were seeded into each well of a collagen-coated 6 well plate containing 2 ml DMEM/F12 and incubated overnight at 37° C. in 5% CO2. The culture medium was replaced with 2 ml of new every day. Collected samples were stored at -80° C. prior to analyses. For the ELISA, high binding 96 well plates (Corning, N.Y.) were coated with 100 uL of an anti-rat albumin affinity purified antibody (1:100) (Bethyl laboratories) in 0.05M carbonate-bicarbonate, pH 9.6 for 1 hr at 25° C. Plates were washed three times with 50 mM Tris, 0.14M NaCl, and 0.05% (v/v) Tween 20 pH 8.0. Nonspecific binding was blocked by adding 1% BSA for 1 h at 25° C. After washing three times, 200 uL of each sample (in duplicate) and standards were added and incubated for 1 h at 25° C. Samples were diluted as needed up to 1:150000 in 50 mM Tris, 0.14M NaCl, 1% BSA, and 0.05% (v/v) Tween 20 pH 8.0). Wells were then washed five times, and 100 uL of an anti-albumin HRP conjugate, diluted 1:10000 in the above buffer was added. Plates were incubated for 1 h at 25° C. After washing, 100 uL of substrate ABTS (KPL, MD) was added in each well. After 30 min, the reaction was stopped by adding 1% SDS to each well. Absorbance was measured at 405 nm using a Benchmark microplate reader (Biorad, CA). The absence of cross reactivity with fetal bovine serum (present in F-12 medium) was verified using samples of culture medium used as blanks. Lewis rat serum was used as a positive control.

[0123] CYP3A4 activity assay: Isolated cells were cultured in DMEM/F12 at density of 0.5 million cells per well in collagen-coated 6-well plates, as described above. CYP3A4 activity was measured on day 2 of culturing, when expression following HNF4 $\alpha$  vector transduction had peaked, using the P450-Glo[™] CYP3A4 assay kit (Promega Corporation, Madison, Wis.), according to manufacture's instructions. Briefly, the culture medium was exchanged with one ml of fresh media (without Phenol red) containing 3 uM Luciferin-IPA. After incubation for 30 minutes at 37° C., 200 ul of culture supernatant was collected from each well and transferred into luminometer tubes. Then 200 ul of Luciferin detection regent was added into each tube. The mixture was incubated for 20 minutes at room temperature, protected from light, and the luminescence was immediately detected using a TD-20/20 luminometer (Turner BioSystems, Inc., Sunnyvale, Calif.).

**[0124]** Statistical analysis: Differences among group results were deemed significant when the P-value was less than 0.05. Statistical analyses were performed using the Tukey-Kramer multiple comparisons procedure and Student's t-test using SPSS v16.0 software (SPSS Inc., Chicago, Ill., USA). Survival in vivo was evaluated by log-rank test. Each value represents the mean±SD. Statistical analysis was performed among three groups (normal, compensated and decompensated cirrhotic hepatocytes) and between un-treated decompensated cirrhotic hepatocytes and decompen

#### Example 2

#### Effect of Transient Expression of Exogenous HNF4α in Cirrhotic Rats

**[0125]** Short-term HNF4 $\alpha$  re-expression may reactivate the hepatocyte transcription factor network. Alternatively, the therapeutic effects could require continuous expression of exogenous HNF4 $\alpha$ . Determination of which scenario is accurate will profoundly affect clinical therapeutic strategies. Therefore, a recombinant AAV vector is constructed that expresses HNF4 $\alpha$  from an inducible promoter controlled by Tet-ON/OFF system.

**[0126]** The bicistronic vector ("Tet-ON:AAV-CMV-rtTA/ TRE-HNF4a", modeled after Zheng et al. (2012 *BMC Cancer*, 12:153) will express the rtTA (Tet repressor) under the control of a CMV promoter and the human HNF4 $\alpha$  cDNA under the control of the TRE (tet regulated promoter). The CMV-rtTA is 2200 bp and the TRE-HNF4 $\alpha$  is 2100 bp, a total within the 5 kb capacity of AAV. Tet ON:AAV-CMV rtTA/ TRE-GFP is generated as a control virus. Transduction efficiency and efficacy of the conditional constructs is confirmed by immunochemistry and in vitro studies.

**[0127]** An alternative approach will be to simultaneously administer separate viruses containing the rtTA and TRE-HNF4 or TRE-GFP. IV injection on 2-consecutive days can also be done to achieve maximal target cell transduction with no increased immune response. Tetracycline inducible systems have been widely used to administer regulated gene expression, but expression can be leaky. Therefore, a newer third generation Tetracycline-inducible system, the Tet-ON-3 G (Clontech), will be utilized, which has been engineered to have reduced basal expression and enhanced sensitivity to doxycycline.

[0128] HNF4 $\alpha$  expression is induced by administration of doxycycline (1 mg/ml in drinking water) and animals will be assessed weekly for improved hepatic function (INR, total bilirubin, serum NH3, albumin, and encephalopathy score). Exogenous HNF4 $\alpha$  expression is halted by withdrawal of doxycycline at one, 2, 4, 6, and 8 weeks after correction of hepatic function and assessed for sustained normalization of hepatic function and survival. If liver function does not deteriorate 2 weeks after withdrawal of exogenous HNF4a expression (i.e., animals are improving), liver specimens are collected and HNF4 $\alpha$  expression is determined by immunohistochemistry, Western blot, northern blot, and qPCR. Hepatocytes are also isolated from treated cirrhotic livers and examined following cytospin for gene expression by IHC (HNF4 $\alpha$ , albumin, etc.). In addition, RNA is collected from hepatocytes for qPCR analysis to assess HNF4a expression and associated changes in liver specific gene expression. Exogenous and endogenous HNF4a expression is distinguished by qRT-PCR, since the mRNAs differ in their 3'-untranslated regions.

#### Example 3

#### Effect of Ongoing CCl₄ Treatment on Liver Function and Gene Expression in Cirrhotic Rats with Liver Failure Treated with AAV-HNF4/GFP

**[0129]** Human cirrhosis patients have continuing injury, whether from alcohol, HCV, or other chronic insults. Therefore, how HNF4 $\alpha$  therapy modulates continuing injury with CCl₄ is studied. Rats with end-stage cirrhosis whose liver

function has been corrected following administration with AAV-HNF4a receive ongoing treatment with CCl₄, starting 2 weeks after AAV treatment. Animals are assessed weekly by blood tests for maintenance of improved hepatic function following AAV-HNF4a treatment. CCl₄ treatment is discontinued if the hepatic encephalopathy score falls below 9 (Kobayashi, et al. 2000, Hepatology 31(4):851; Bures, et al. Innate and motivated behavior. Techniques and Basic Experiments for the Study of Brain and Behavior. New York: Elsevier; 1976, p. 37-45)). If hepatic function deteriorates but does not correct within 2 weeks of stopping treatment with CCl₄, animals are sacrificed and liver specimens and isolated hepatocytes are examined for HNF4 $\alpha$  and liver-specific gene expression.

#### Example 4

#### To Determine the Mechanism of HNF4 $\alpha$ Downregulation in Human End-Stage Cirrhosis and to Examine Whether Exogenous HNF4 $\alpha$ can Correct for Loss of Function

[0130] To extend the rat observations to human liver disease, control and cirrhotic livers are examined for function and changes in gene expression and chromatin. There are a wide variety of fibrotic liver diseases, with some differences from the rat CCl₄ model. Nevertheless, the focus on liver failure via transcriptionally regulated hepatocyte decompensation is distinctive, and there is a striking similarity between the rat and human diseases. It has been found that  $HNF4\alpha$ expression is a dramatically diminished in cirrhotic livers with decompensated hepatic function (Berasain, C. et al. 2003 Hepatology 38(1):148-57). Thus, the extent to which networks altered in rodent studies are similarly altered in human hepatocytes will be determined. Since diminished HNF4 $\alpha$  expression has been identified, further examination focuses on the extent to which providing exogenous HNF4 $\alpha$ to human hepatocytes will correct hepatic function toward normal.

Characterization of Transplanted Hepatocytes Derived from Cirrhotic Livers.

[0131] In the animal studies described herein, the yield of hepatocytes recovered by collagenase digestion of cirrhotic livers was significantly lower than from age-matched controls, but hepatocyte viability and plating efficiency were not statistically different among groups. However, hepatocytes from the livers of rats with decompensated cirrhosis functioned less well in vitro than those from all other donor groups. Preliminary studies of human disease were performed, using explanted diseased livers recovered during liver transplantation at Children's Hospital of Pittsburgh. Cirrhotic livers were classified according to severity based on Child-Pugh Classification of severity of liver disease (determined based on the criteria set forth in Table 2, below). Cells were isolated from cirrhotic livers, from the livers of patients with liver-based metabolic disorders, and from pediatric agematched controls. There was no difference in viability or plating efficiency within groups. However, albumin secretion and urea synthesis was significantly diminished in hepatocytes from Child-B livers. A decrease in inducible CYP 1A1/ 1A2 activity in hepatocytes from human Child-B but not Child-A cirrhotic hepatocytes was observed. As cirrhosis became more advanced, human hepatocytes showed an increase in mRNA expression for progenitor cell genes, most markedly for CD44 and EpCAM.

<2.8

>6 or >2.3

TABLE 2

Criteria for Child-Pugh Classification Scores for each of five clinical and biochemical measurements are combined for a Grade, Grade A = 5-6 Grade B = 7-9 Grade C = 10-15						
Clinical and Biochemical	Inc	Points Scored fo creasing Abnormatic	-			
Measurements	1	2	3			
Hepatic encephalopathy (grade)*	None	1 and 2	3 and 4			
Ascites Total bilirubin (mg/dl)	Absent <2.0	Mild 2.0-3.0	Moderate >3.0			

>3.5

<4 or <1.7

2.8-3.5

4-6 or 1.7-2.3

or Prothrombin time INR**

Serum albumin (g/dl)

Prothrombin time (sec.

prolonged)

*According to grading of Trey, Burns, and Saunders (1996).

**Lucey MR, et al. Minimal Criteria for Placement of Adults on the Liver Transplant Waiting List Liver Transplantation and Surgery, Vol. 3, No 6 (November), 1997: pp 628-637.

HNF4 $\alpha$  Expression is Downregulated in Human Livers with Advanced Cirrhosis.

[0132] As in rat cirrhosis, HNF4 $\alpha$  downregulation occurs in human livers with advanced cirrhosis (Berasain, C. et al. 2003 Hepatology 38(0:148-57). This finding was confirmed by qPCR of cirrhotic and control hepatocytes recovered at Children's Hospital of Pittsburgh. By qRT-PCR, levels of HNF4 $\alpha$  were significantly lower than that found in control normal livers.

Research Design:

[0133] Characterization of Human Livers and Hepatocytes Derived from these Livers.

[0134] Normal livers from nonheart-beating donors, and livers representing different stages of fibrosis and cirrhosis are recovered for analysis. Serum bilirubin, albumin, INR level, extent of ascites, and encephalopathy are recorded for each liver where hepatocytes are recovered. Tissue biopsies assess the extent of regenerative hyperplasia and cirrhosis. Extent of collagen deposition is determined by Masson-Trichrome and Sirius red-stained sections using ImageJ software. The yield of hepatocytes from cirrhotic and control livers recovered by collagenase digestion, including their viability and plating efficiency, us recorded. Four groups are established based on severity of donor liver disease. Group 1: normal control livers; Group 2: livers with significant liver injury but associated with no, or minimal, change in hepatic function (Child-Pugh A); Group 3: livers with modest loss of function (Child-Pugh B); Group 4; livers with severe loss of hepatic function (Child-Pugh C).

Baseline Functional Analysis and Gene Expression Profile of Hepatocytes Recovered from Human Livers.

[0135] As with hepatocytes derived from our rat model, measure human hepatocyte function is measured [albumin secretion, urea synthesis, qPCR for expression of liver-specific genes including HNF4a, and baseline and induced cytochrome P450 activity; evidence of replicative senescence [qRT-PCR for NF-kB, telomerase expression, functional telomerase activity, and telomere length (Southern blot analysis)].

**[0136]** Differences among groups are analyzed statistically using ANOVA. It has been calculated that at least 10 samples representing each experimental group is required for comparison.

Human-Rat Comparison of the Dynamic Genetic Changes Associated with Progressive Cirrhosis and Liver Failure.

[0137] RNA-Seq analysis is carried out and expression levels of selected liver specific genes are also examined by quantitative PCR. As in the rodent studies, transcriptome analysis provides a fundamental resource for elucidating the mechanisms by which cells derived from normal, compensated, and decompensated cirrhotic livers develop hepatic dysfunction. The cluster analysis of the rat studies revealed signatures of NF $\kappa$ B stimulation and HNF4 $\alpha$  inhibition (see above) (Liu, L. et al. 2012 Hepatology 55(5):1529-39). It is hypothesized that similar patterns of expression will discriminate normal vs. compensated vs. decompensated cirrhosis in human livers. Hepatocytes, recovered as outlined above, and donor liver segments are processed for mRNA and subsequent analysis by RNA-Seq. transcriptomic dynamics of normal liver cells is defined, followed by a similar analysis of cells derived from compensated cirrhotic livers, culminating in an analysis of the transcriptomic dynamics of hepatocytes from decompensated cirrhotic livers.

Transduction of Hepatocytes from Cirrhotic Human Livers Associated with Decompensated Function to Express HNF-4a.

[0138] Adult human hepatocytes derived from end-stage cirrhotic livers are transduced to express HNF4 $\alpha$  by AAV. MOI is optimized to attain >80% transduction of human hepatocytes without injury. An AAV vector has been generated containing the gene encoding human HNF4 $\alpha$ . However, a new vector expressing both HNF4 $\alpha$  and GFP is constructed to allow easy assessment of transduction efficiency. Controls receive a GFP-expressing vector. 5×10⁵ human hepatocytes are cultured in each well of a 6-well plate. Following transduction, liver-specific gene expression is assessed in hepatocytes from normal and decompensated Child's A, B, and C livers. Following transduction, supernatants are collected daily from hepatocytes for measurement of albumin and urea secretion. Furthermore, mRNA is recovered from hepatocytes and qRT-PCR is performed to assess AAT, ApoA, ApoC, Cyp3A4, FVII, HNF1a, TAT, Tdo2, TF, TTR, and endogenous and exogenous HNF4 $\alpha$  expression. All values are normalized to control normal hepatocytes.

Transplantation of HNF4 $\alpha$  Treated Human Hepatocytes from Cirrhotic Livers into Immune Deficient Mice FAH k/o Mice. [0139] Control human hepatocytes and AAV-HNF4a transduced hepatocytes are transplanted into immune-deficient FAH K/O (FRG or FRG-NOD) mice that provide a selective repopulation advantage to donor cells. FRG/N mice (6-12 week old), maintained on 2-(2-nitro-4-trifluoro-methyl-benzoyl)-1,3 cyclohexanedione (NTBC)-containing drinking water at 16 mg/L, receive  $5 \times 10^9$  pfu Ad-uPA i.p. before transplantation (Lieber, A. et al. 1995 Hum Gene Ther. 6(8):1029-37; Azuma, H. et al. 2007 Nature Biotechnology 25(8):903-10). 106 viable human hepatocytes are then transplanted into the spleen for engraftment in the liver. NTBC is gradually decreased (1.6 mg/l, day 0-2; 0.8 mg/l, day 3-4; 0.4 mg/l, day 5-6) and completely withdrawn one week after transplantation. Two weeks after stopping NTBC, animals are placed back on the drug for 5 d and then taken off again. NTBC may need to be repeatedly restarted for 5 days each time the body weight decreases by 20 percent in order to allow expansion of transplanted hepatocytes. Human serum albumin level is used to non-invasively assess the extent to which engrafted donor hepatocytes function and expand in the non-cirrhotic FRG/N liver environment over time. 4-8 weeks after transplantation, when human serum albumin approaching 0.5 mg/ml indicates engraftment by human hepatocytes approximating 5-10% repopulation, hepatocytes are isolated by in situ collagenase perfusion (Berry, M N. et al. 1969 *PMCID*:PMCID2107801; Seglen P A, 1976 *Method Cell Biol.* 13:29-83). Isolated cells are placed in fresh media containing homogentisic acid (HGA), the inteitnediate metabolite in the pathway between 4-hydroxyphenylpyruvate dioxygenase and FAH, for 24 hrs. Donor cells that express FAH survive this treatment, whereas FAH-deficient cells do not survive (Kubo S. et al. 1998 *PNA-SUSA* 95(16):9552-7).

**[0140]** As predicted, is was found that FAH-deficient hepatocytes die in a dose-dependent fashion. As with hepatocytes derived from control and cirrhotic rats, human hepatocyte function: albumin secretion, urea synthesis, and qPCR is measured for expression of liver-specific genes including HNF4 $\alpha$ , baseline and induced cytochrome P450 activity (CYP3A4, 2C9, 1A1, 1A2), and evidence of replicative senescence (telomerase expression, functional telomerase activity, and telomere length) (Kitada T, et al. 1995 *Biochem Biophys Res Commun* 211(1):33-9; Rudolph L K et al. Telomeres and telomerases in experimental liver cirrhosis. Chisari F V, et al. editors. In: The Liver: Biology and Pathobiology. 4th ed. Philadelphia: Lippincott Williams & Wilkins; 2001. p. 1000-10; Wiemann S U, et al. 2002, *FASEB J.* 16(9): 935-42).

**[0141]** Differences between experimental and controls are analyzed statistically using ANOVA. At least 10 samples representing each group are required for comparison.

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SEQUENCE LISTING

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Gly	Val	Ser 35	Ala	Leu	Сүз	Ala	Ile 40	Суз	Gly	Asp	Arg	Ala 45	Thr	Gly	Lys
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Gln 305	Tyr	Asp	Ser	Arg	Gly 310	Arg	Phe	Gly	Glu	Leu 315	Leu	Leu	Leu	Leu	Pro 320
Thr	Leu	Gln	Ser	Ile 325	Thr	Trp	Gln	Met	Ile 330	Glu	Gln	Ile	Gln	Phe 335	Ile
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Leu	Gly	Gly 355	Ser	Pro	Ser	Asp	Ala 360	Pro	His	Ala	His	His 365	Pro	Leu	His
Pro	His 370	Leu	Met	Gln	Glu	His 375	Met	Gly	Thr	Asn	Val 380	Ile	Val	Ala	Asn
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Gly	Gly	Ser	Gly 420	Ser	Glu	Pro	Tyr	Lys 425	Leu	Leu	Pro	Gly	Ala 430	Val	Ala
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Hiy hia Thr Lyo Arg Ser Met Val Phe Lyo Arg Pal Leu Leu Leu Gly         110         Han App Tyr Lle Val Pro Arg His Cyo Pro Glu Leu Ala Glu Met Ser         111       Arg Tile Leu App Glu Leu Val Leu Pro Phe Gln Glu         220       200         111       Arg Tile Leu App Glu Leu Val Leu Pro Phe Gln Glu         220       200         111       Arg Ap Asn Glu Tyr Ala Tyr Leu Lyo Ala Ile The Phe         220       200         112       200         112       200         112       200         112       200         112       200         112       200         111       112         211       210         112       112         210       112         210       112         210       112         210       112         211       210         211       210         211       210         211       210         211       210         211       210         211       210         212       210         212       210         212       210	Asp	Gln		Ala	Leu	Leu	Arg		His	Ala	Gly	Glu		Leu	Leu	Leu
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Phe Asp Pro Asp Ala Lys Gly Leu Ser Asp Pro Gly Lys 11e Lys Arg 285         Leu Arg Ser Gln Val Gln Val Ser Leu Glu Asp Tyr 11e Asn Asp Arg 300         Sin Tyr Asp Ser Arg Gly Arg Phe Gly Glu Leu Leu Leu Leu Leu Pro 320         Shn Tyr Asp Ser Arg Gly Arg Phe Gly Glu Glu Gln Leu Leu Leu Leu Pro 320         Thr Leu Gln Ser 11e Thr Trp Gln Met 11e Glu Gln I1e Gln Phe I1e 325         .ys Leu Phe Gly Met Ala Lys 11e Asp Asp Leu Leu Gln Glu Met Leu 340         .ys Leu Phe Gly Glu Glu His Met Gly Thr Asn Val Tle Val Ala Asn 350         Pro His Leu Met Gln Glu His Met Gly Thr Asn Val Tle Val Ala Asn 370         .ws For O Fro Fro Pro Gly Gly Ser Gly Ser Glu Pro Tyr Lys Leu 400         Pro Gln Pro Ser Pro Pro Gly Gly Ser Gly Ser Glu Pro Tyr Lys Leu 415         .ws For O Fro Fro Pro Gly Gly Ser Gly Ser Glu Pro Tyr Lys Leu 415         .ws For O Fro Fro Gly Gly Ser Gly Ser Glu Pro Tyr Lys Leu 410         .ws For O Fro Fro Gly Gly Ser Gly Ser Glu Pro Tyr Lys Leu 410         .ws For O Fro Fro Gly Gly Ser Gly Ser Glu Pro Tyr Lys Leu 410         .ws For O Fro Fro Gly Gly Ser Gly Ser Glu Pro Tyr Lys Leu 410         .ws For O Fro Gly Glu Val I1e 442         .ws For Mem Samp Ala Val Ala Thr Ile Val Lys Pro Leu Ser Ala Ile Pro 420         .ws For O Fro Gly Glu Glu For Val Glu Ser Ser Tyr 15         .cs11> CKENTH: 395         .cs12> VENENCE: 12         Met Val Ser Val Aan Ala Pro Leu Gly Ala Pro Val Glu Ser Ser Tyr 15         .ht For For Ser Glu Gly Thr Asen Leu Ase	Leu	Gln	Ile			Asn	Glu	Tyr			Leu	Гла	Ala			Phe
we are are set of a value of the set of the	Phe	Aap			Ala	Гла	Gly			Asp	Pro	Gly			Lys	Arg
Sin Tyr Asp Ser Arg Gly Arg Phe Gly Glu Leu Leu Leu Leu Leu Pro 310 310 310 315 310 310 315 310 320 Thr Leu Gln Ser Ile Thr Trp Gln Met Ile Glu Gln Ile Gln Phe Ile 325 325 325 325 325 325 325 325 325 325	Leu			Gln	Val	Gln			Leu	Glu	Aap			Asn	Asp	Arg
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Ays Leu Phe Gly Met Ala Lys IIe Asp Asn Leu Leu Gln Glu Met Leu 340 Ser Pro Ser Asp Ala Pro His Ala His His Pro Leu His 355 Pro His Leu Met Gln Glu His Met Gly Thr Asn Val IIe Val Ala Asn 370 Asn Pro Thr His Leu Ser Asn Gly Gln Met Ser Thr Pro Glu Thr 405 Pro Gln Pro Ser Pro Pro Gly Gly Ser Gly Ser Glu Pro Tyr Lys Leu 405 Pro Gly Ala Val Ala Thr IIe Val Lys Pro Leu Ser Ala IIe Pro 420 Asn Pro Thr His Leu Ser Asn Gly Glu Val IIe 420 Val Ala Val Ala Thr IIe Val Lys Pro Leu Ser Ala IIe Pro 420 Val Ser II Pro Ser Pro 440 Ser VI No 12 2211> LENGTH: $3952210>$ SEQ ID NO 12 2212> TYPE PRT 2213> ORGANISM: Homo sapiens 4400> SEQUENCE: 12 Met Val Ser Val Asn Ala Pro Leu Gly Ala Pro Val Glu Ser Ser Tyr 10 No Ser Leu 30 Ser Val Asn Ala Leu Cys Ala IIe Cys Gly Asp Arg Ala Thr Gly Lys 30 Ser Val Asg Lys Asn His Met Tyr Ser Cys Arg Phe Ser Arg Gln Cys		Leu	Gln	Ser			Trp	Gln	Met			Gln	Ile	Gln		
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Pro His Leu Met Gln Glu His Met Gly Thr Asn Val Ile Val Ala Asn 375 Mer Pro Thr His Leu Ser Asn Gly Gln Met Ser Thr Pro Glu Thr 390 Gln Pro Ser Pro Pro Gly Gly Gly Ser Gly Ser Glu Pro Tyr Lys Leu 400 Pro Gly Ala Val Ala Thr Ile Val Lys Pro Leu Ser Ala Ile Pro 420 420 Met Val Ser JI Pro Thr Lys Gln Glu Val Ile 420 SEQ ID NO 12 2211> LENGTH: 395 420 SEQUENCE: 12 Met Val Ser Val Asn Ala Pro Leu Gly Ala Pro Val Glu Ser Ser Tyr 10 Pro Ser Glu Gly Thr Asn Ala Pro Leu Asn Ala Pro Asn Ser Leu 30 Ser Val As Ala Ser Ser Cys Asp Gly Cys Lys Gly Phe Ser Arg Gln Cys 40 Ser Val Arg Lys Asn His Met Tyr Ser Cys Arg Phe Ser Arg Gln Cys	Leu	Gly	-		Pro	Ser	Asp			His	Ala	His			Leu	His
The Met Pro The His Leu Ser Asn Gly Gln Met Ser The Pro Glu The 390 Pro Gln Pro Ser Pro Pro Gly Gly Ser Gly Ser Glu Pro Tyr Lys Leu 405 Pro Gly Ala Val Ala The IIe Val Lys Pro Leu Ser Ala IIe Pro 420 Val Ala Val Ala The IIe Val IIe 420 Val Ala Val Ala The IIe Val IIe 420 Val Ala Val Ala The IIe Val IIe 421 Val Ser Glu NO 12 2210 > SEQ ID NO 12 2210 > SEQ UENCE: 12 Met Val Ser Val Asn Ala Pro Leu Gly Ala Pro Val Glu Ser Ser Tyr 10 Pro Val Glu Ser Ser Tyr 10 Pro Val Glu Ser Ser Tyr 30 Pro Ser Glu Gly The Asn Ala Pro Asn Ser Leu 30 Ser Leu 30 Ser Val Asn Ala Leu Cys Ala IIe Cys Gly Asp Arg Ala The Gly Lys 40 Ser Val Arg Lys Asn His Met Tyr Ser Cys Arg Phe Ser Arg Gln Cys	Pro			Met	Gln	Glu			Gly	Thr	Asn			Val	Ala	Asn
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1. A method of treating or preventing hepatic failure or cirrhosis in a subject, comprising administering a therapeutically effective amount of a pharmaceutical composition comprising an HNF4 $\alpha$  agonist to the subject.

**2**. The method of claim **1**, wherein the agonist is a small molecule.

3. The method of claim 1, wherein the agonist is an HNF4 $\alpha$  nucleic acid molecule.

**4**. The method of claim **3**, wherein the HNF4 $\alpha$  nucleic acid molecule is expressed by a therapeutic vector.

5. The method of claim 4, wherein a hepatocyte from the subject is transduced with the therapeutic vector in vitro and reintroduced into the subject.

6. The method of claim 1, wherein the subject is a human. 7. The method of claim 1, wherein the pharmaceutical composition comprises at least one of a pharmaceutically acceptable diluent, carrier, solubilizer, emulsifier, preservative, and adjuvant.

8. The method of claim 1, wherein the HNF4 $\alpha$  agonist is administered in conjunction with one or more additional agents for the treatment or prevention of hepatic failure or cirrhosis.

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